

# KEK PS E391a実験における $K_L \rightarrow \pi^0 \nu \bar{\nu}$ 探索の最終結果

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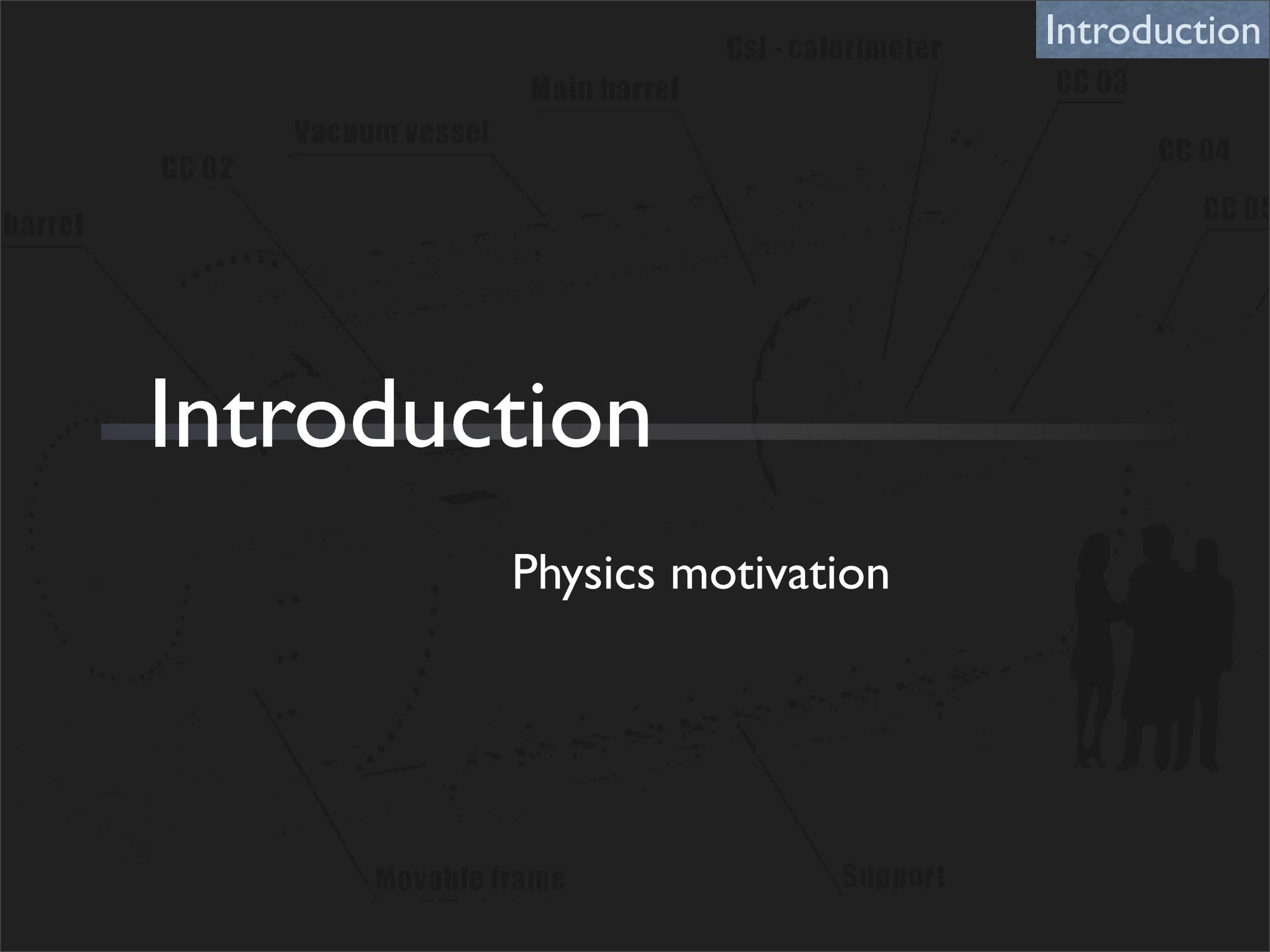


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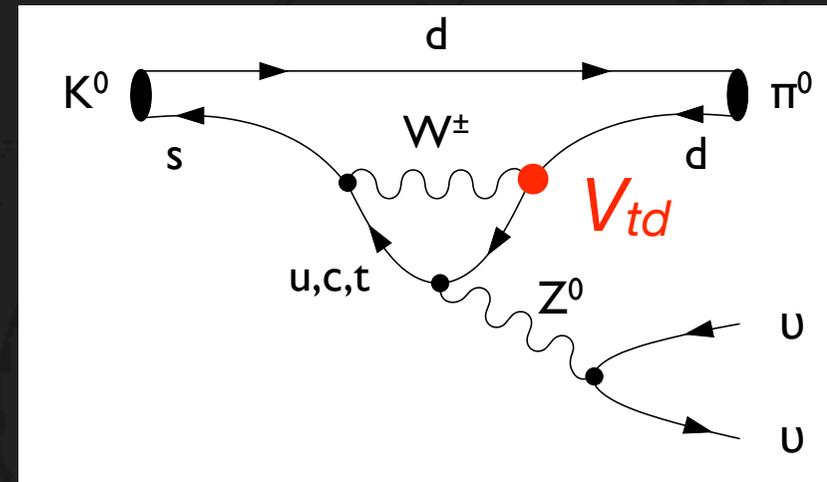
# Introduction

Physics motivation

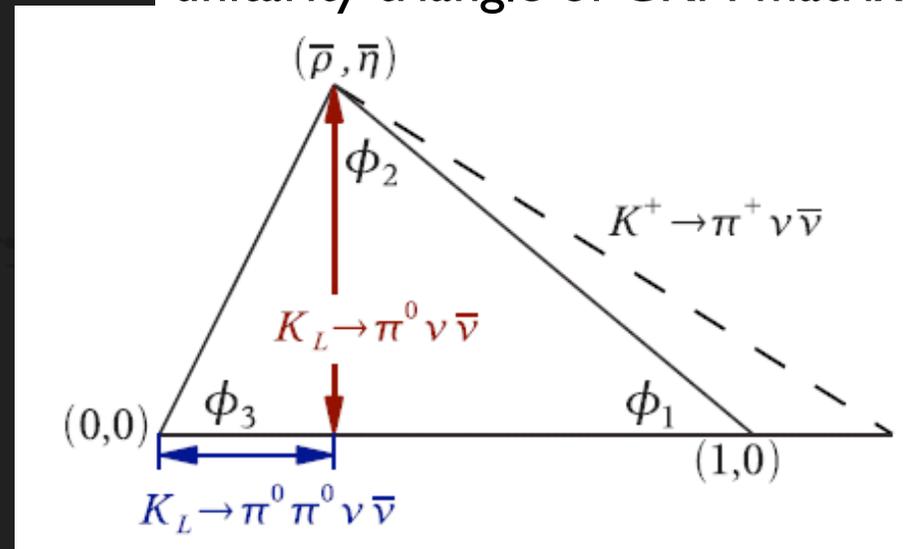


# $K_L \rightarrow \pi^0 \nu \bar{\nu}$ Decay in SM

- $K_L \rightarrow \pi^0 \nu \bar{\nu}$  崩壊の特徴
  - “直接的” CP violation
  - CKM行列の複素位相  $\eta$  を観測  
 $\text{Br}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \propto \eta^2$
  - 理論的不定性が小さい：1-2%  
 ( $K^+ \rightarrow \pi^0 e^+ \nu +$  isospin対称性)
  - rare decay  
 : 分岐比  $2.5 \times 10^{-11}$  @SM

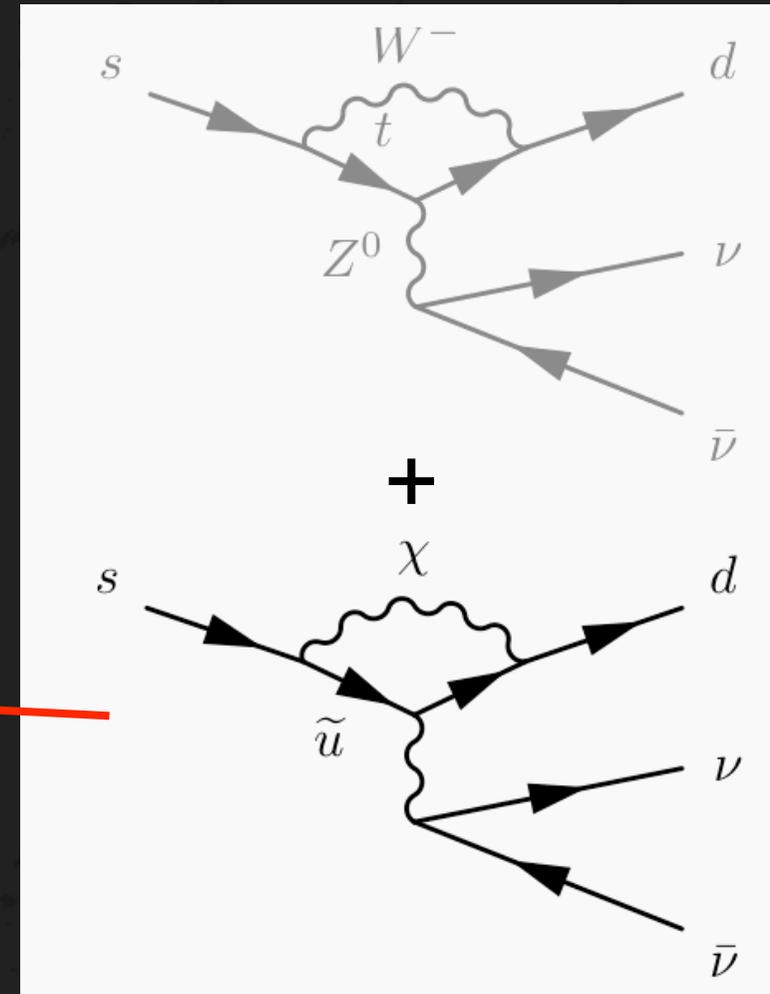
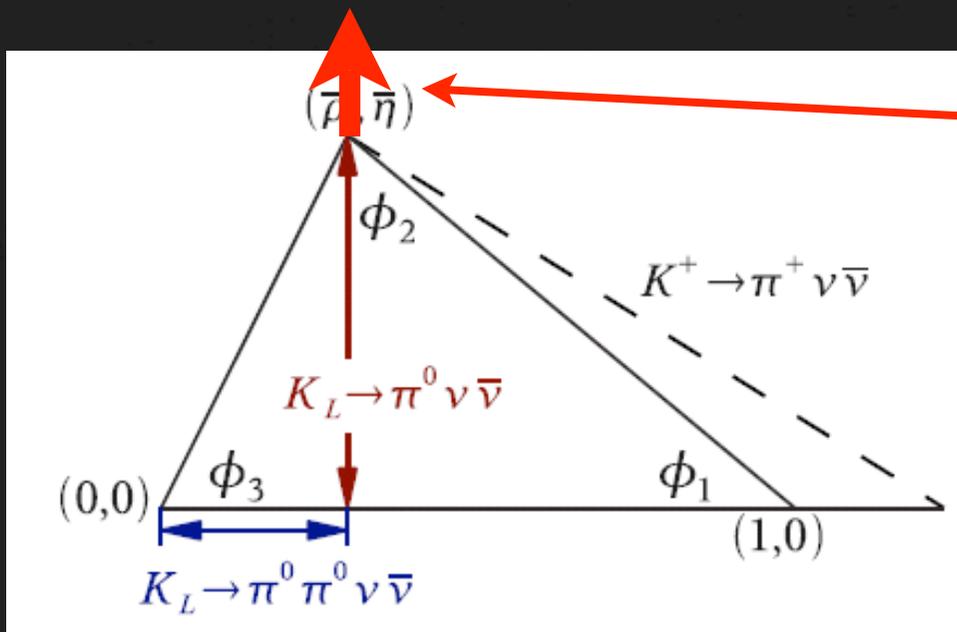


unitarity triangle of CKM matrix

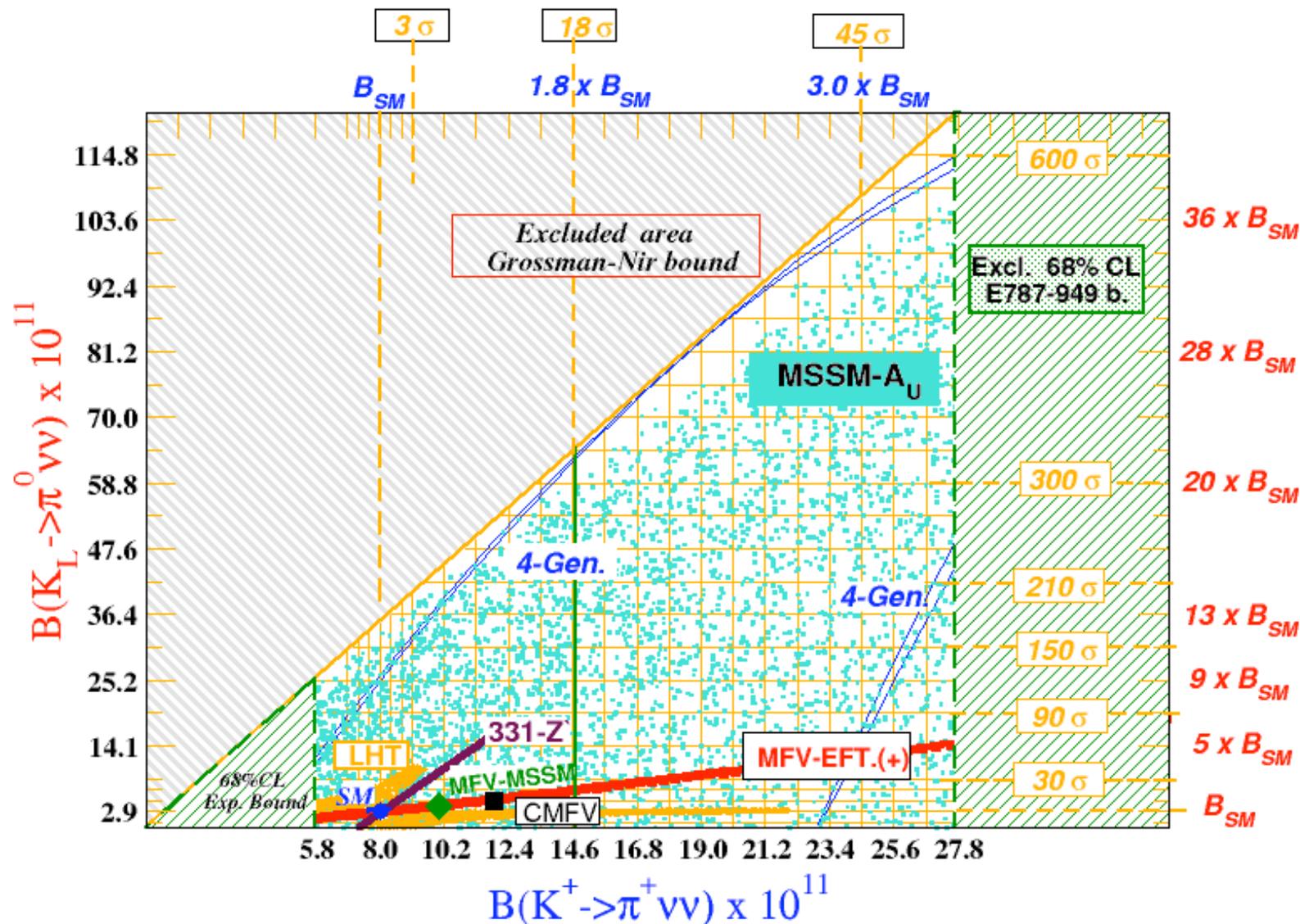


# $K_L \rightarrow \pi^0 \nu \bar{\nu}$ Decay with NP

- もし新物理があれば...?
- 新粒子がloop diagramを回る  
→崩壊振幅を変化させる  
& 理論的不定性 : still 1-2%



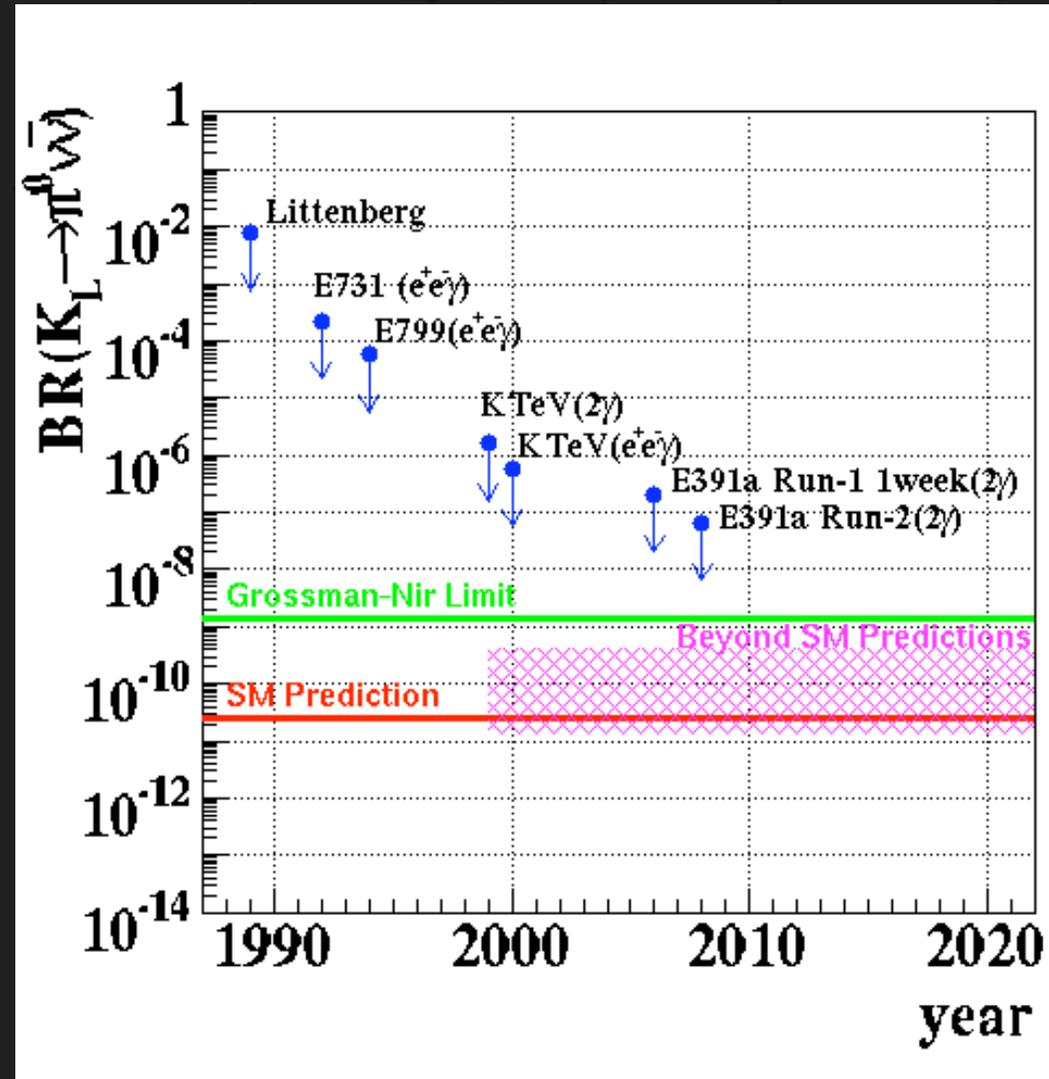
# $K_L \rightarrow \pi^0 \nu \bar{\nu}$ Decay with NP



<http://www.inf.infn.it/wg/vus/content/Krare.html>

# History of $K_L \rightarrow \pi^0 \nu \bar{\nu}$ Search

- 上限値更新の歴史
- KTeV
  - $\pi^0 \rightarrow e^+e^- \gamma$
  - $Br < 5.9 \times 10^{-7}$
- KEK E391a (Run2)
  - $\pi^0 \rightarrow \gamma \gamma$
  - $Br < 6.7 \times 10^{-8}$



# E39 I a Experiment



# E391a Experiment

- $K_L \rightarrow \pi^0 \nu \bar{\nu}$  探索実験 @ KEK 12GeV PS
- 世界初のこのモードに特化した実験
- 次期実験  $K^0$ TO (J-PARC E14) のためのパイロット

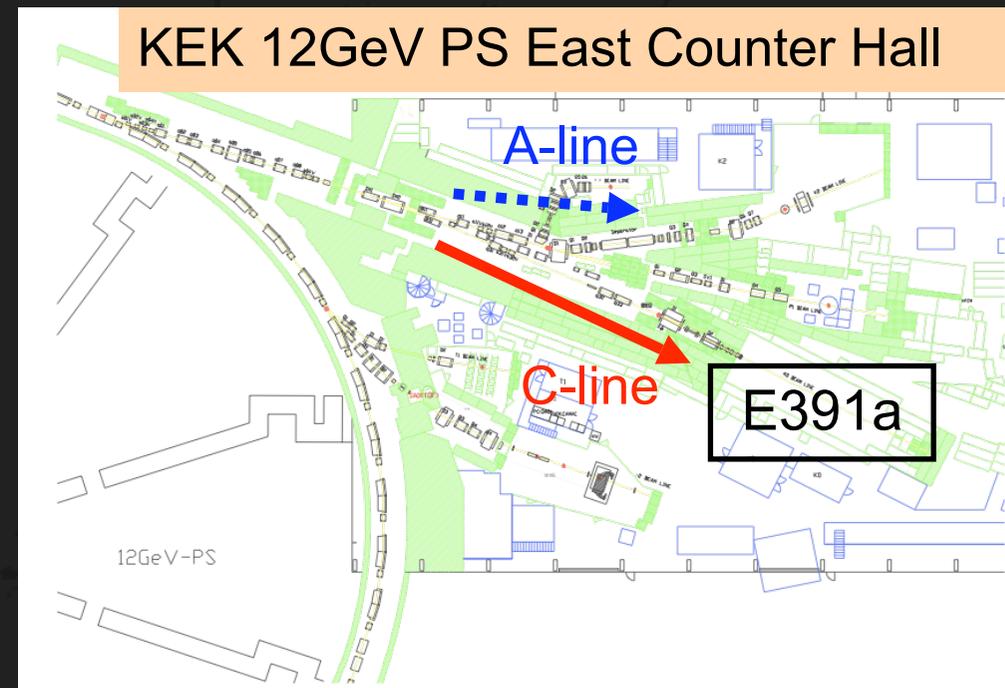
## • Three Physics Runs

- Run1 (2004 Feb-Jul)  
“membrane” problem

- Run2 (2005 Feb-Apr)
- Run3 (2005 Nov-Dec)

最終解析には

Run2 + Run3 のsampleを使用



# Experimental Principles

- シグナルモードの同定

$K_L \rightarrow \pi^0 \nu \bar{\nu}$  state

$\rightarrow 2\gamma$  cannot detect

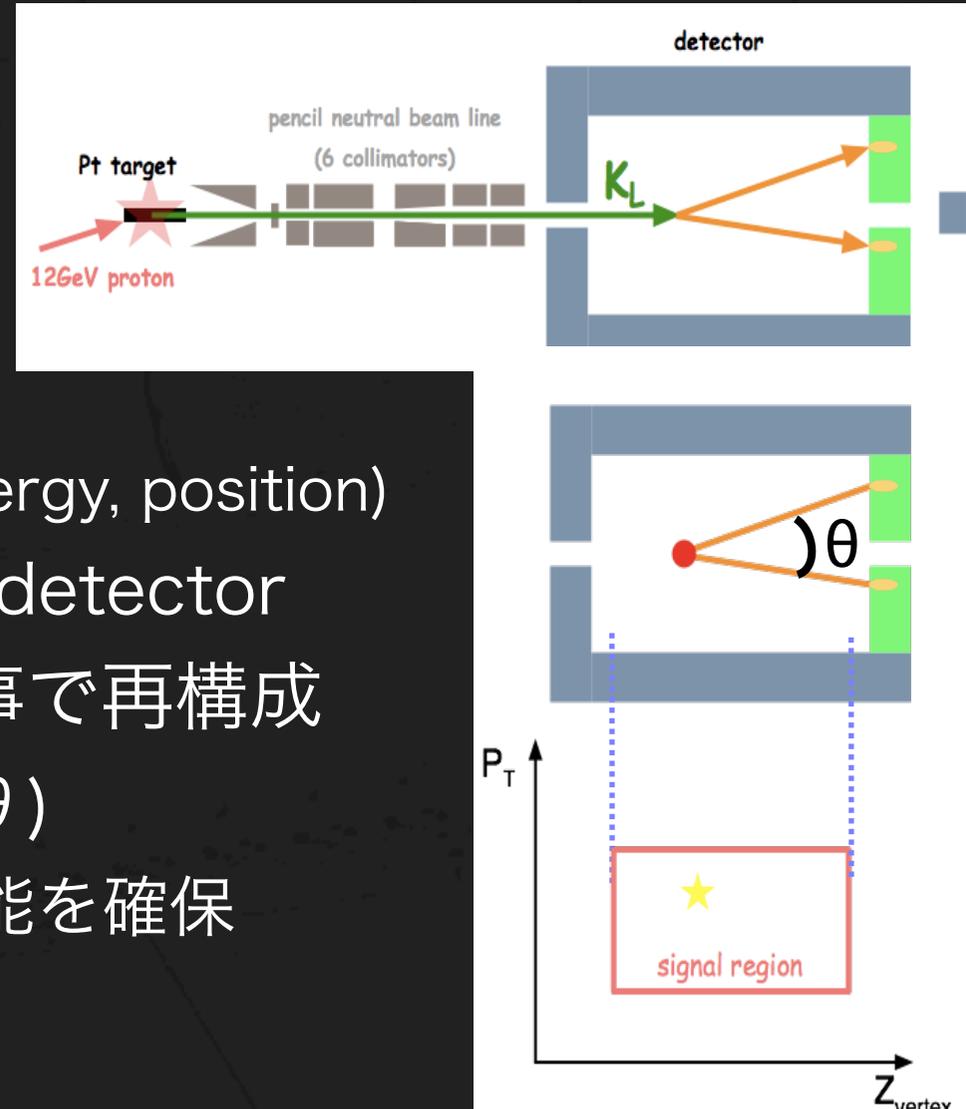
- “ $2\gamma$  + nothing”

- $2\gamma \rightarrow$  CsI calorimeter (energy, position)
- nothing  $\rightarrow$  hermetic veto detector
- 崩壊点を  $M(\pi^0)$  を仮定する事で再構成

$$M(\pi^0)^2 = 2E_1 E_2 (1 - \cos \theta)$$

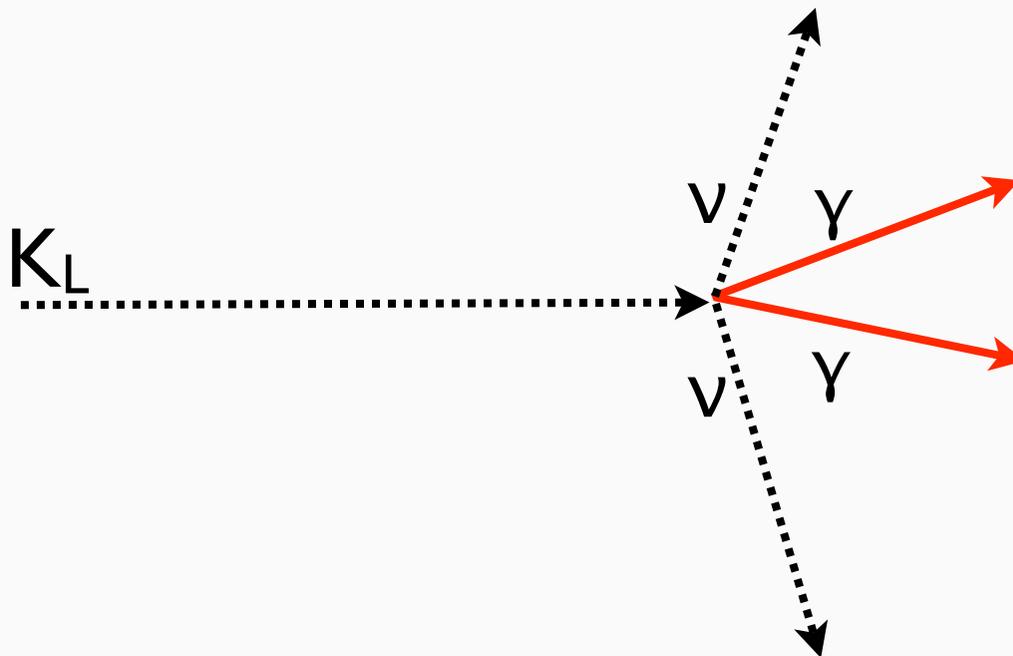
“pencil” beam で  $p_T$  分解能を確保

- $p_T$  と崩壊点の情報から  
signal region を定義



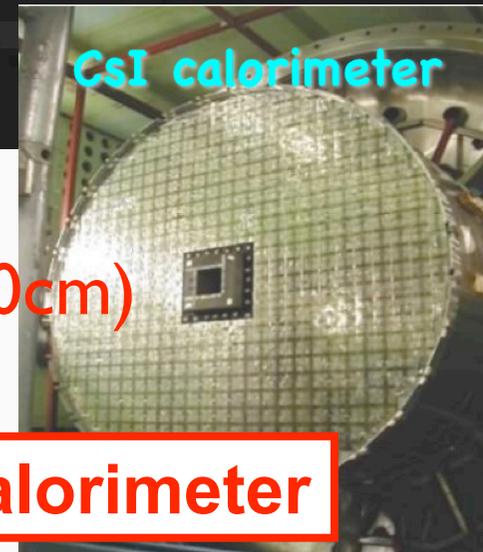
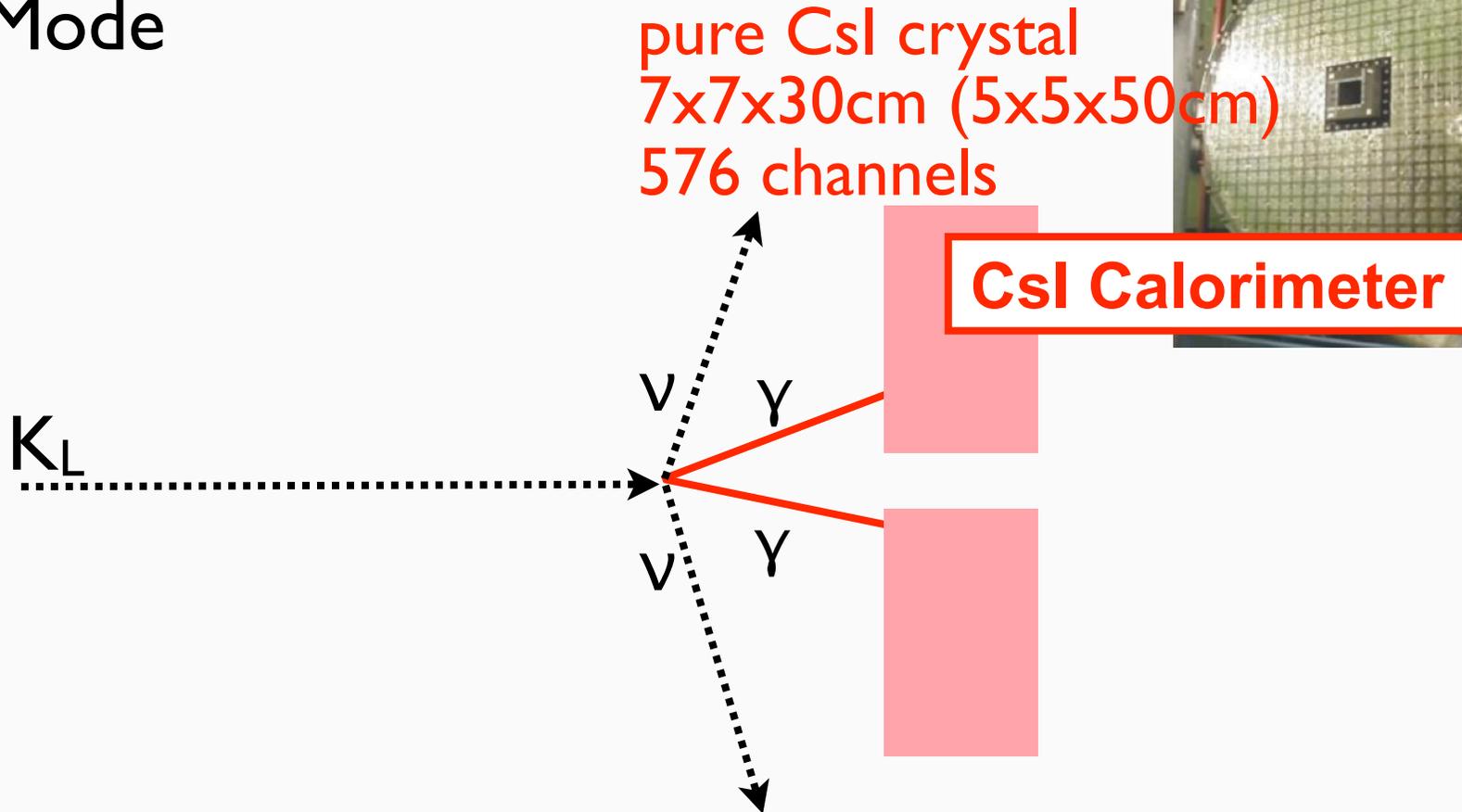
# E391a Detector

## Signal Mode



## E391a Detector

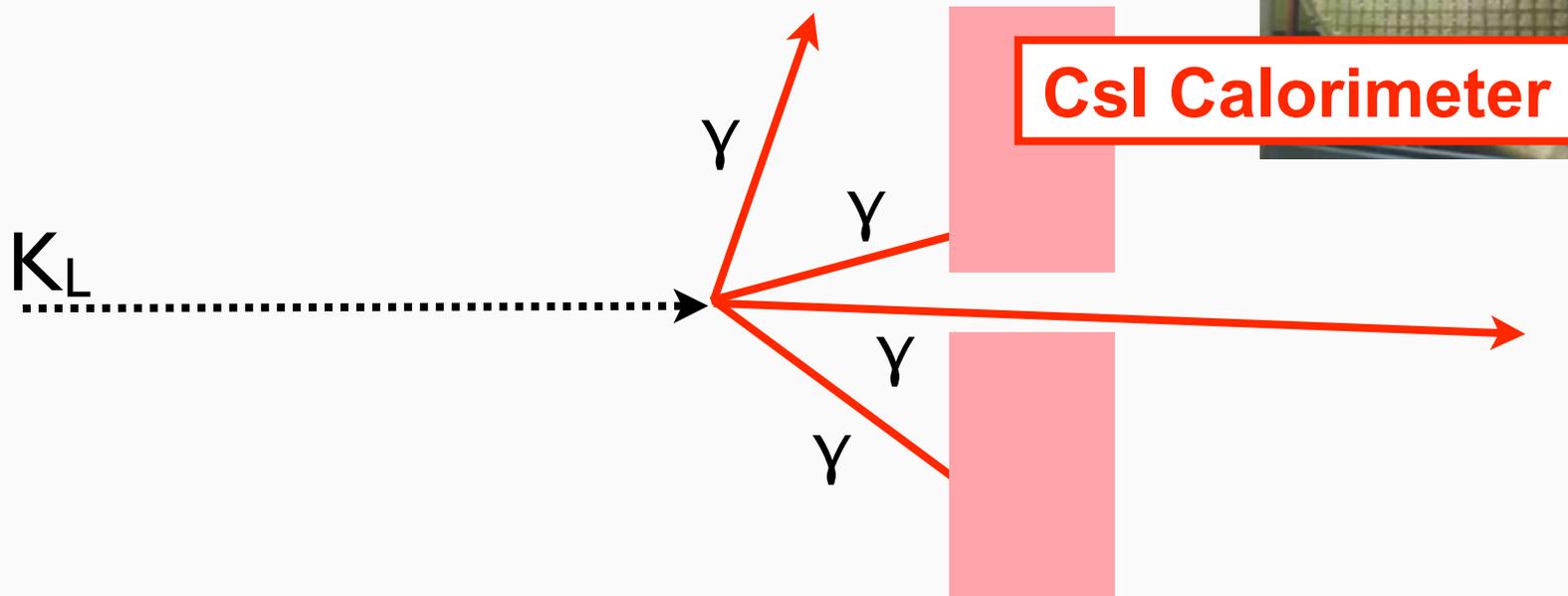
## Signal Mode



## E391a Detector

Background :  $K_L \rightarrow \pi^0 \pi^0$

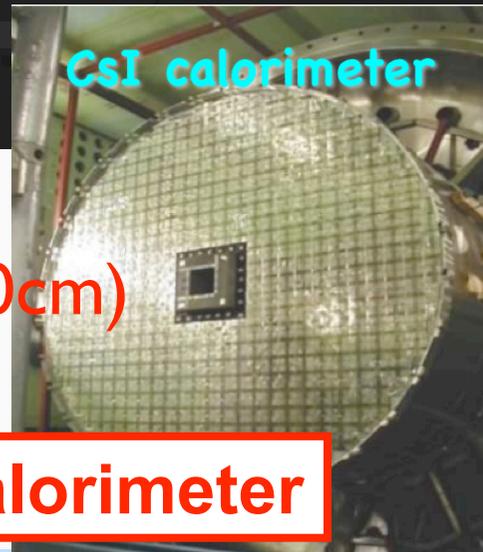
pure CsI crystal  
7x7x30cm (5x5x50cm)  
576 channels



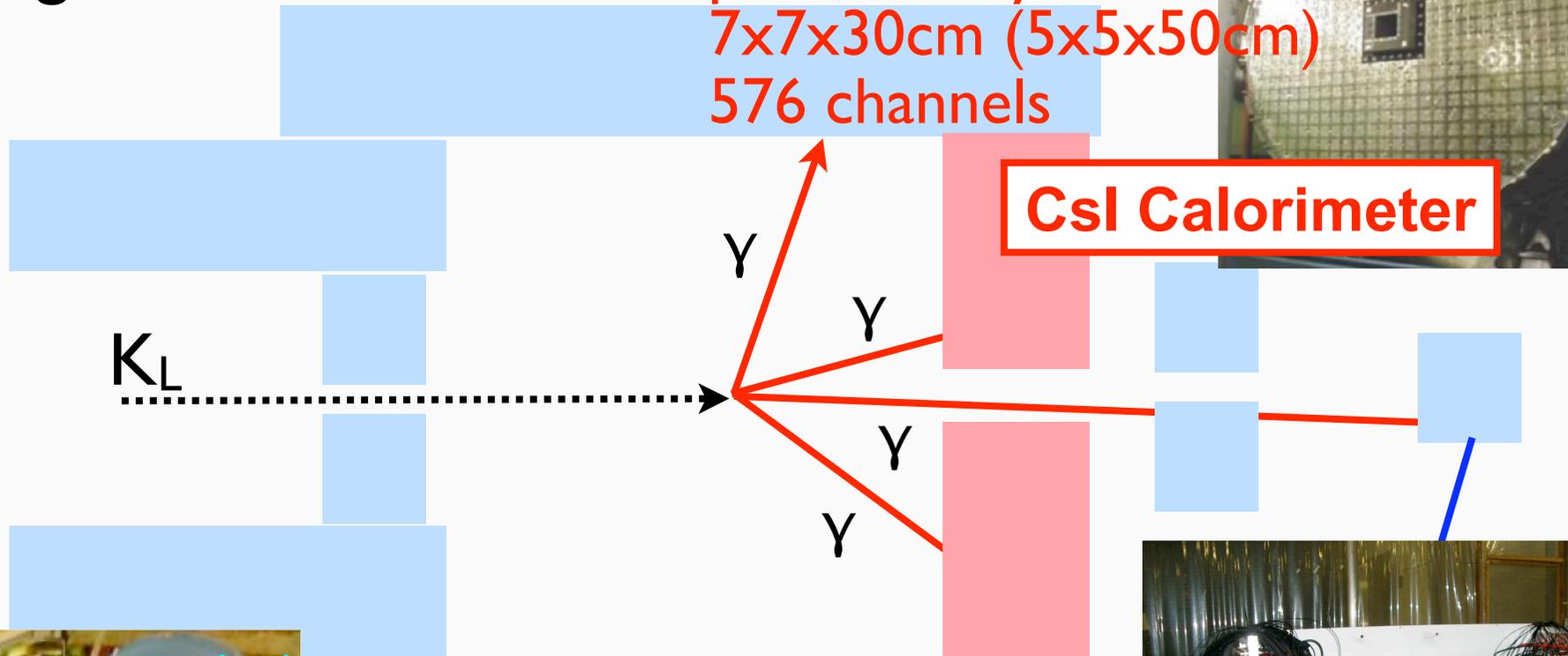
# E391a Detector

Background :  $K_L \rightarrow \pi^0 \pi^0$

pure CsI crystal  
7x7x30cm (5x5x50cm)  
576 channels



**CsI Calorimeter**



**Photon Veto Detector**

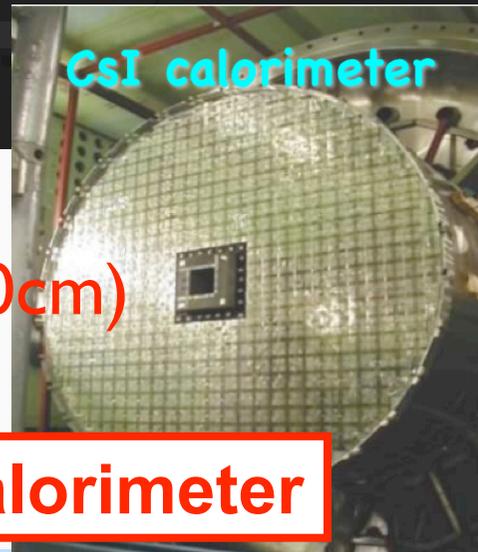


Back-Anti : veto  $\gamma$   
escaping into beamhole

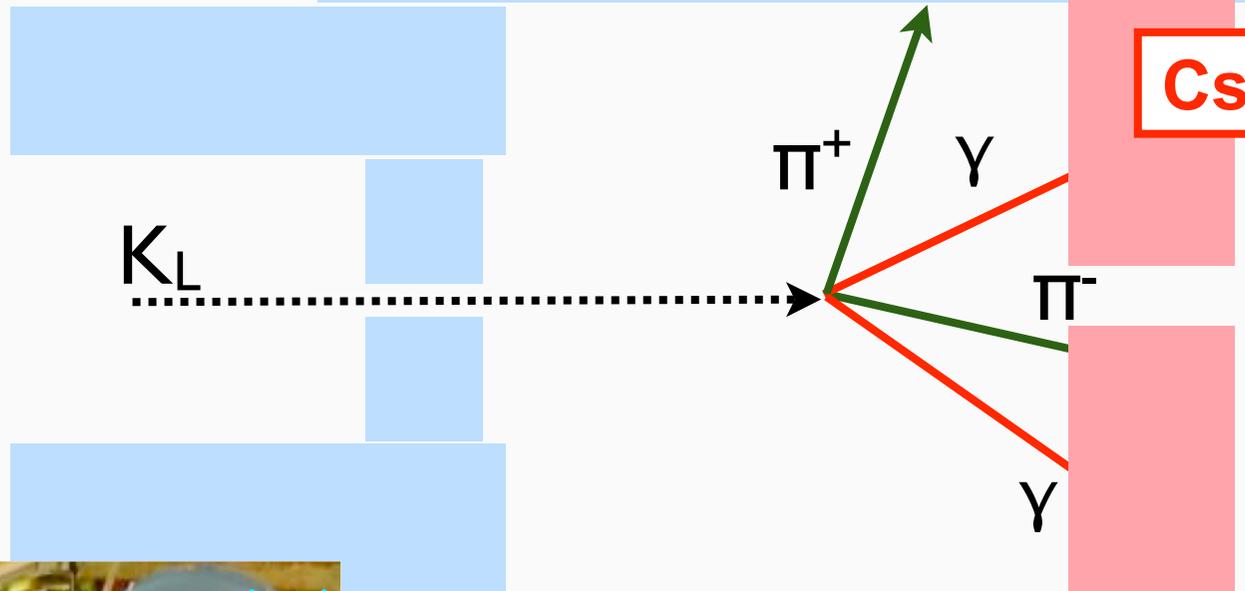
# E391a Detector

Background :  $K_L \rightarrow \pi^+ \pi^- \pi^0$

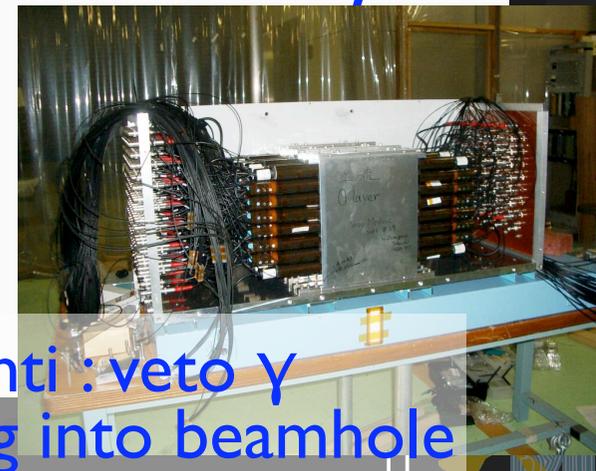
pure CsI crystal  
7x7x30cm (5x5x50cm)  
576 channels



**CsI Calorimeter**



**Photon Veto Detector**



Back-Anti : veto  $\gamma$   
escaping into beamhole

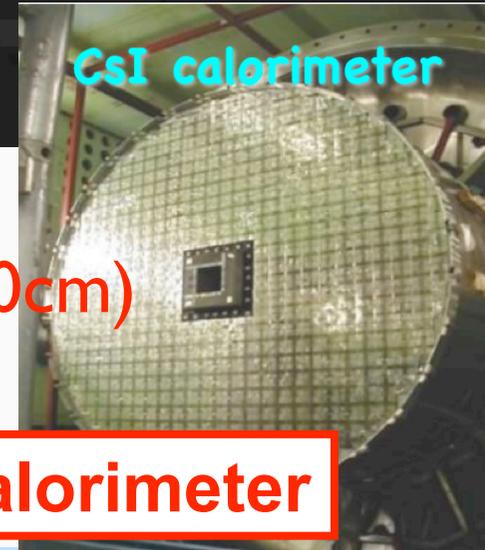
# E391a Detector



Charged Particle Veto Detector

**Charged Particle Veto Detector**

$\pi^0$  pure CsI crystal  
7x7x30cm (5x5x50cm)  
576 channels



CsI calorimeter

**CsI Calorimeter**

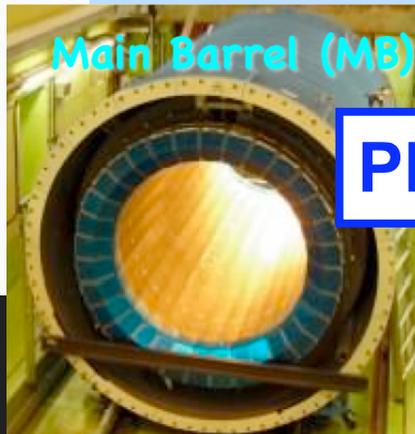
$K_L$

$\pi^+$

$\gamma$

$\pi^-$

$\gamma$



Main Barrel (MB)

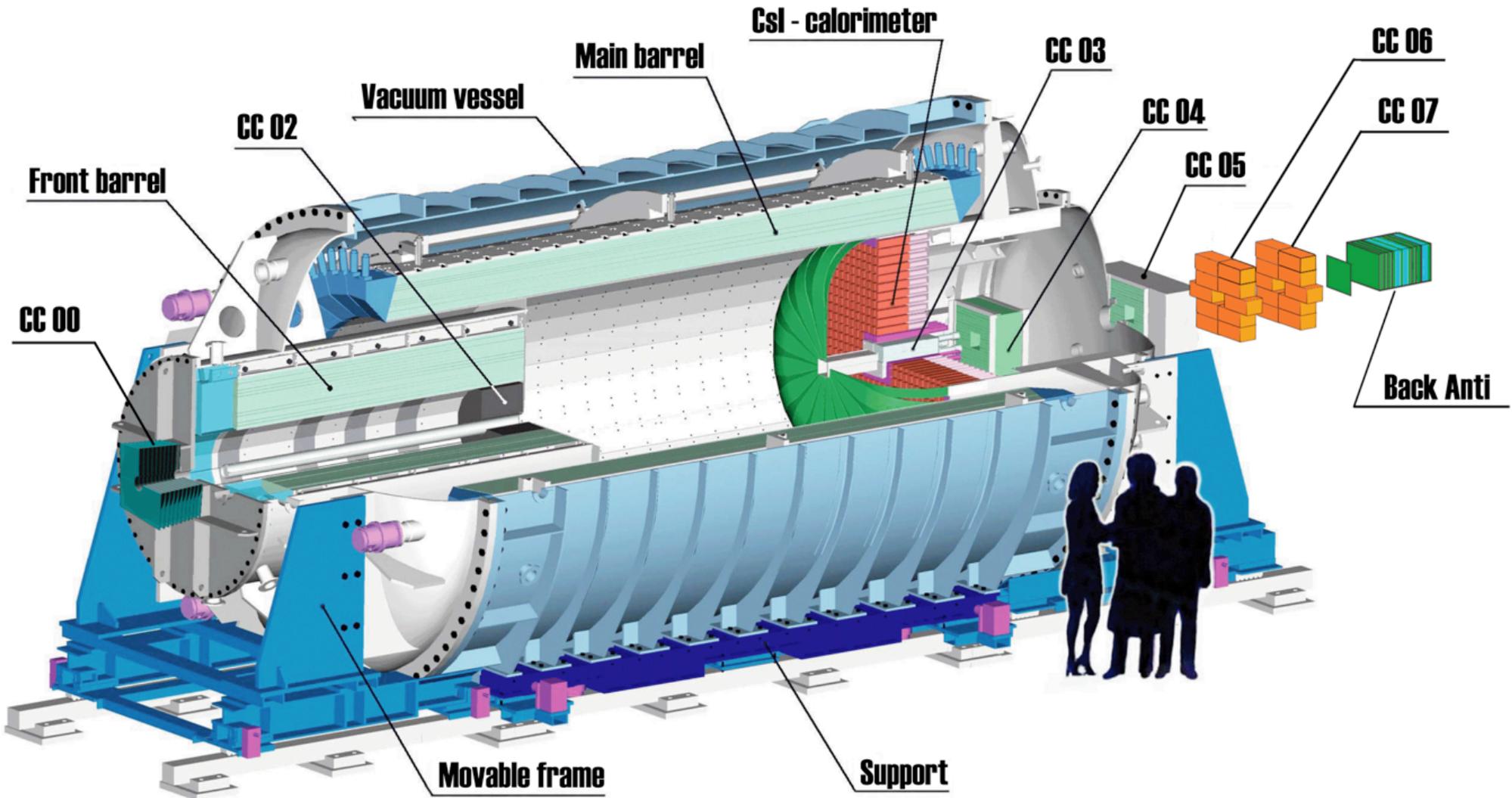
**Photon Veto Detector**



Back-Anti : veto  $\gamma$   
escaping into beamhole

# E391a Detector

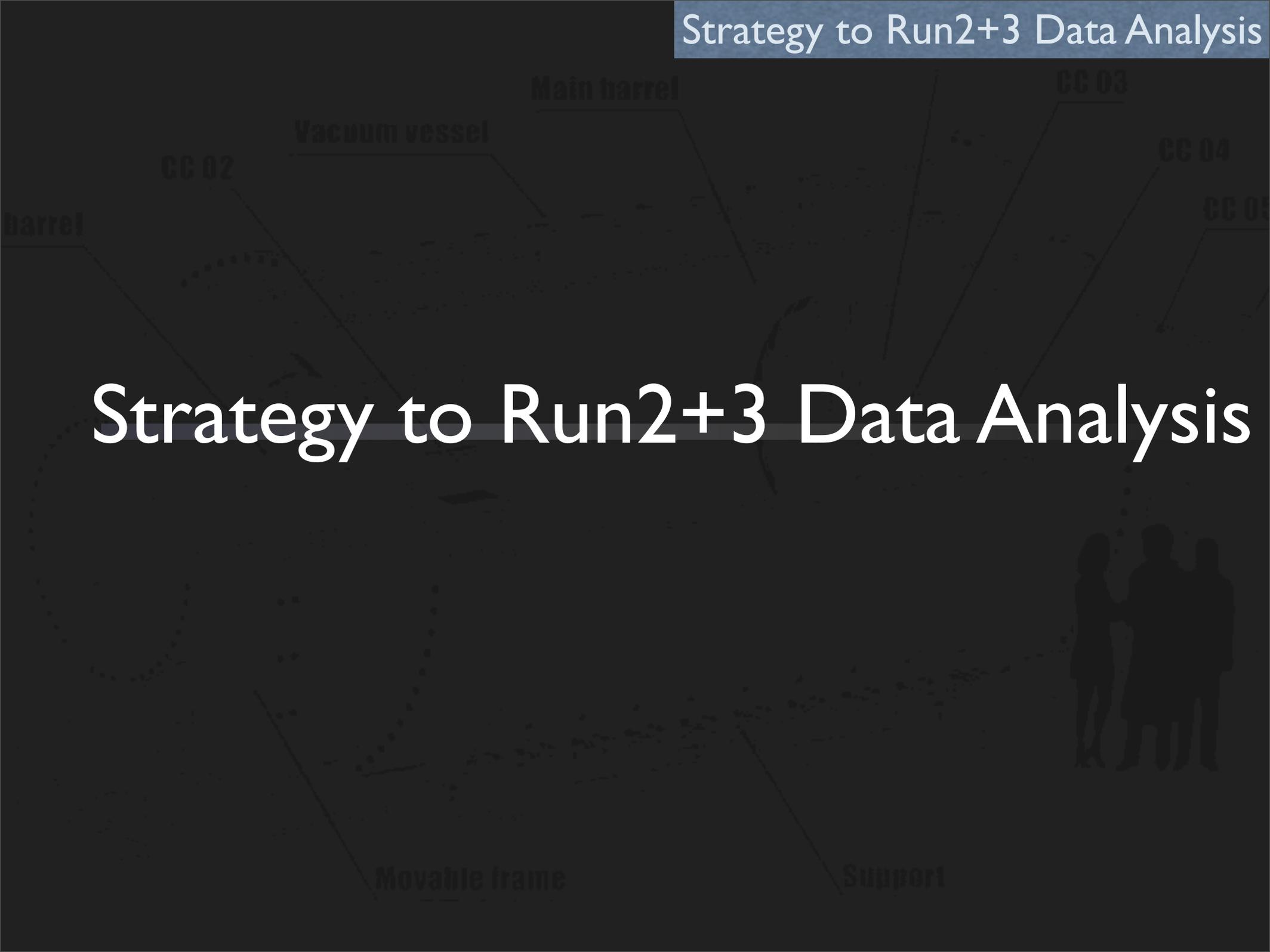
CsI calorimeter



escaping into beamline

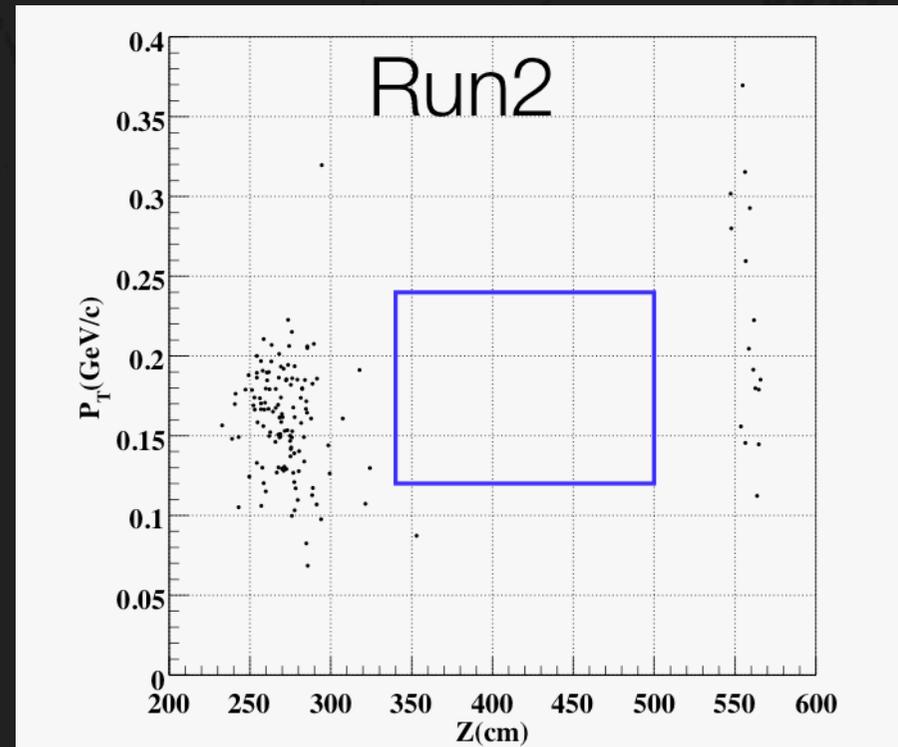


# Strategy to Run2+3 Data Analysis



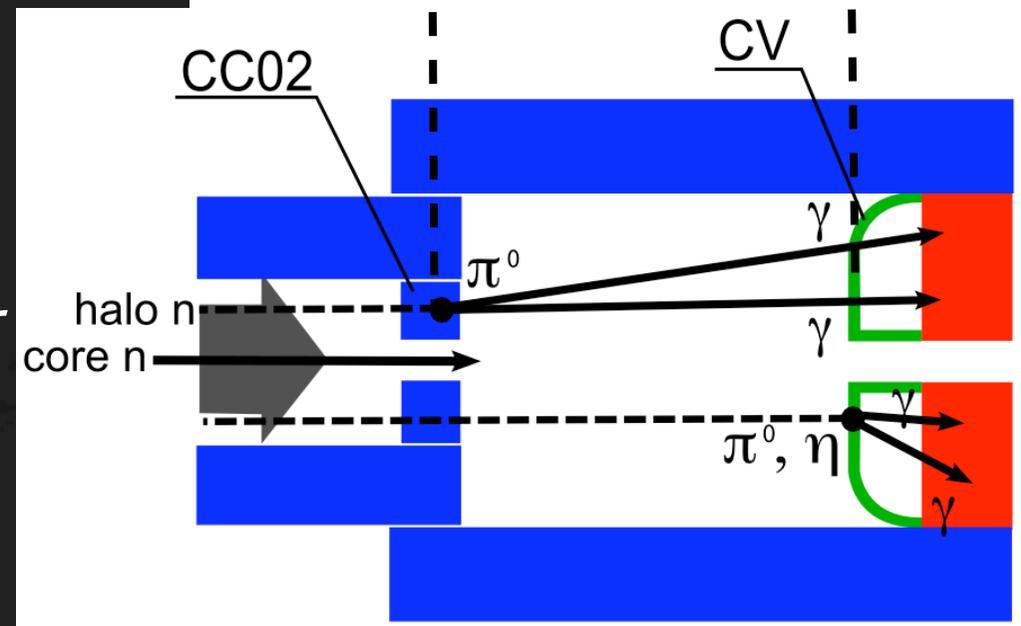
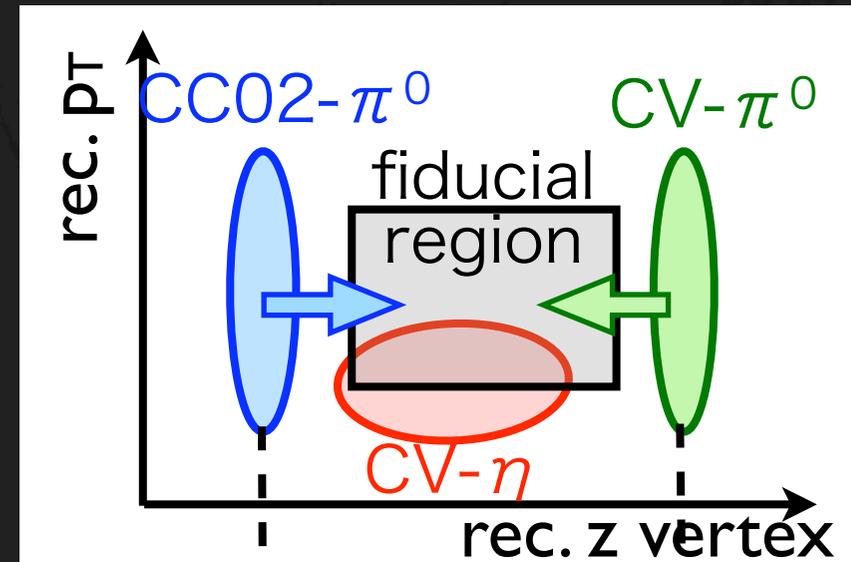
# Review of Run2 Analysis

- 一つ前の解析 : Run2 Result
  - blind analysis
  - No event observed in the signal box
  - Upper limit  $6.7 \times 10^{-8}$  (90% C.L.)  
(Phys. Rev. Lett. 100 201802, 2008)
- Run2解析から得られた事
  - 最大のバックグラウンド源
    - halo neutron BG
      - Collar Counter (CC02)- $\pi^0$  BG
      - CV- $\pi^0$  BG
      - CV- $\eta$  BG



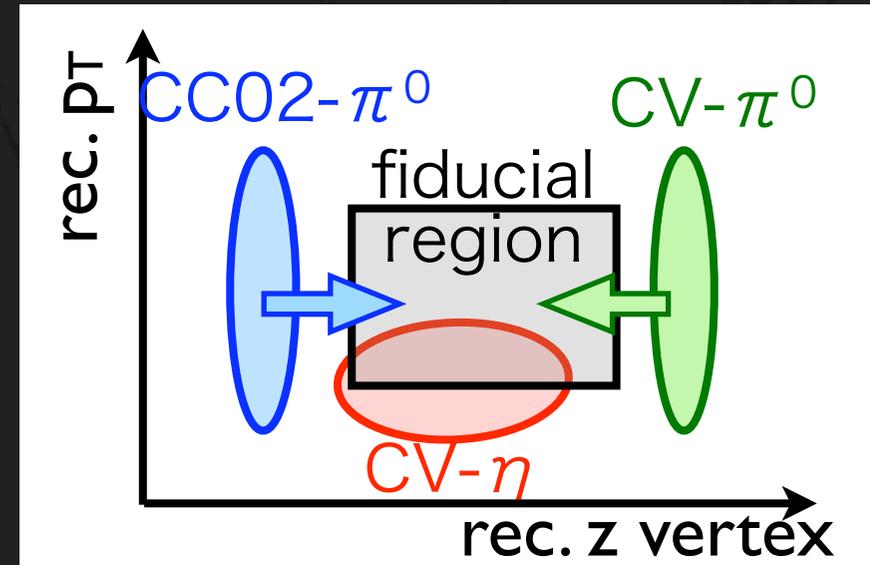
# Halo Neutron Background

- Halo neutron
- neutron flux surrounding beam core
- Halo neutron BG
  - halo-n hits detector around beam core
  - creates  $\pi^0, \eta \rightarrow 2\gamma$



# Mechanism of Neutron Background

- Collar Counter (CC02)  $\pi^0$  BG  
 $E_r$  を実際より低く見積もる  
 (shower leakage  
 & photo-nuclear effect)  
 $\rightarrow \theta$  を大きく見積もる
- CV- $\pi^0$  BG  
 $E_r$  を実際より大きく見積もる  
 (due to fusion cluster)  
 $\rightarrow \theta$  を小さく見積もる
- CV- $\eta$  BG  
 $M(\pi^0)$  と  $M(\eta)$  の違い  
 $\rightarrow \theta$  を小さく見積もる



The diagram shows a vertex from which two red arrows, labeled  $E_1$  and  $E_2$ , extend outwards. The angle between these two arrows is labeled  $\theta$ . Two red  $\gamma$  symbols are placed near the arrows, indicating they represent photons. Below the diagram, the equation  $M(\pi^0)^2 = 2E_1E_2(1-\cos\theta)$  is written.

$$M(\pi^0)^2 = 2E_1E_2(1-\cos\theta)$$

# Motivation for the Current Analysis

- halo neutron BG
  - CC02  $\pi^0$  BG ( $\rightarrow$  extrapolation of the AI-target data)
  - CV  $\pi^0$  BG ( $\rightarrow$  bifurcation)
  - CV  $\eta$  BG ( $\rightarrow$  geant4 + geant3 MC)

以前のRun2解析では別々の方法で見積もり

バックグラウンドの統一的な扱いが困難

$\rightarrow$  シグナル/バックグラウンドの効率的な最適化が難しい

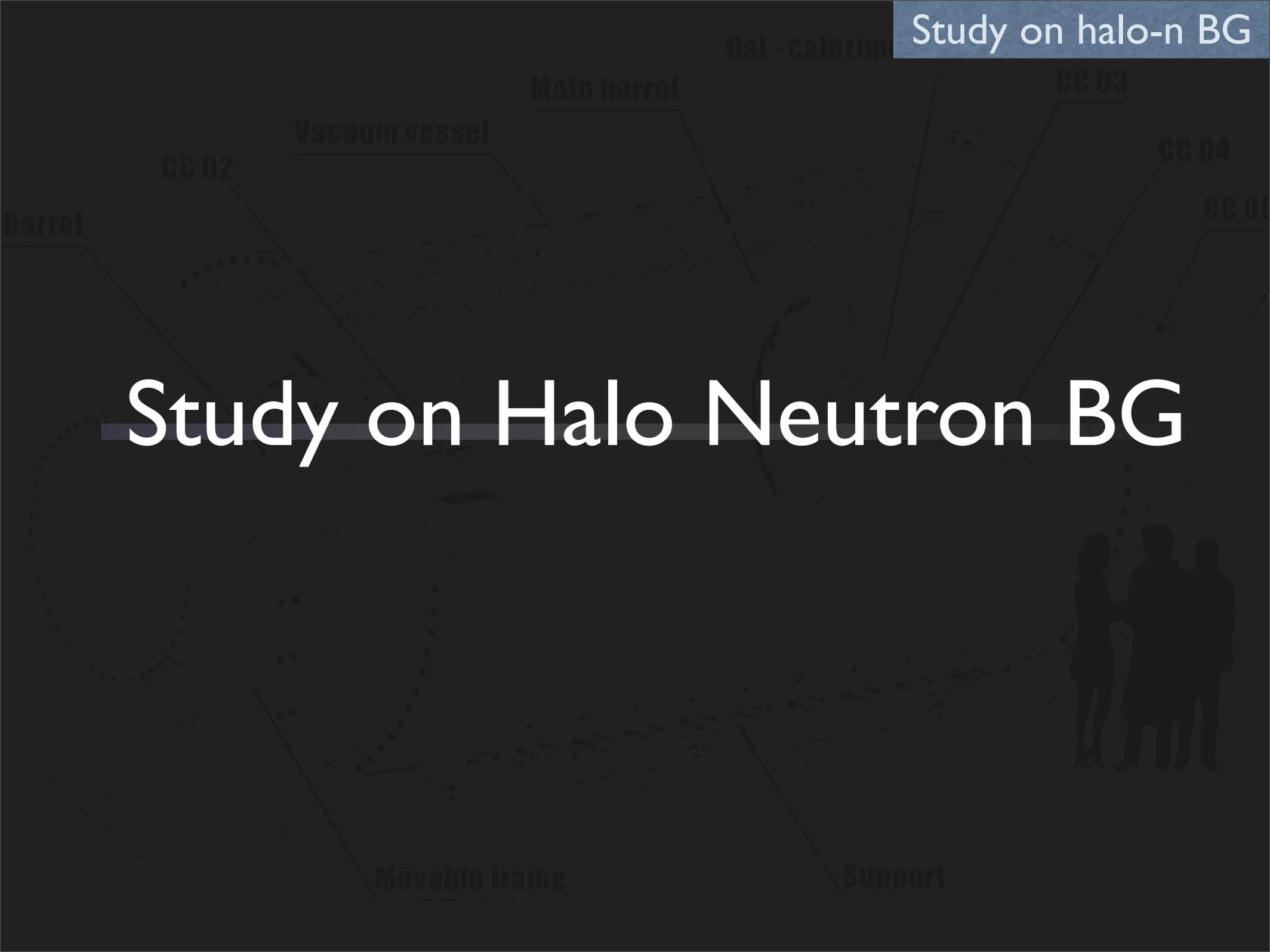
新しい解析では

halo neutron BGの見積もりを統一的な方法で行う

$\rightarrow$  シンプルで効果的なS/Nの最適化

$\rightarrow$  バックグラウンドの統一的な理解

# Study on Halo Neutron BG

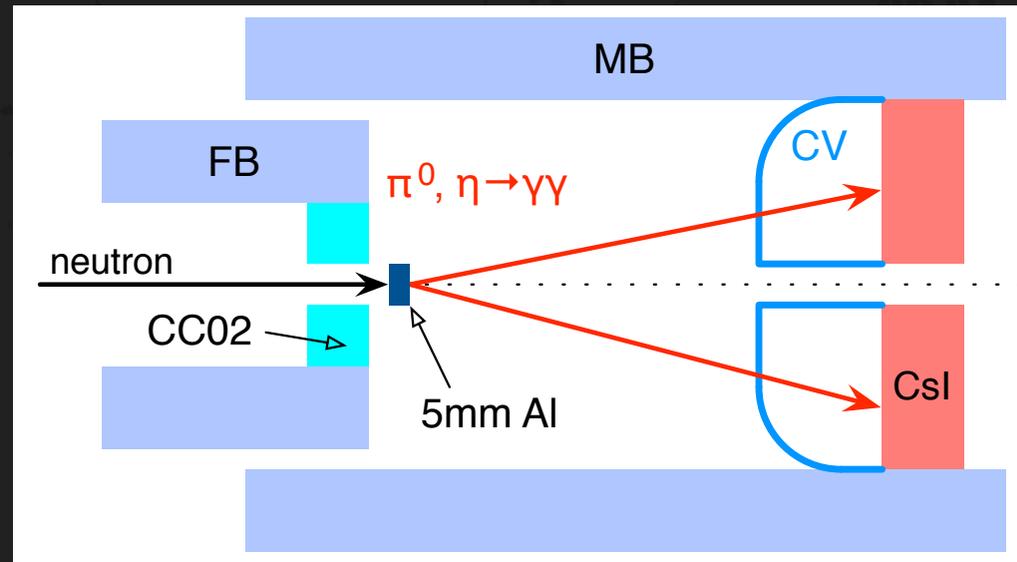


# Halo Neutron BG Study

- Halo neutron BG studyの手順
  1. FLUKAのhadronic interaction modelの信頼性を確認  
→ 確認用に取られた測定データ (Al-plate run) を使用
  2. イベント選択の最適化
  3. バックグラウンドの見積もり

# Al Target Run

- 確認すべき事
  - $\pi^0, \eta$  の生成率
- データとFLUKA simulation  
を比較する事で確認



- Al target run
  - 5mm厚のAl targetをビームライン中へ挿入
    - $2\gamma$ の質量を再構成可能 (with fixed z-vertex)
- Amount of statistics
  - $5.57 \times 10^{16}$  POT

# $\pi^0, \eta$ Production Rate

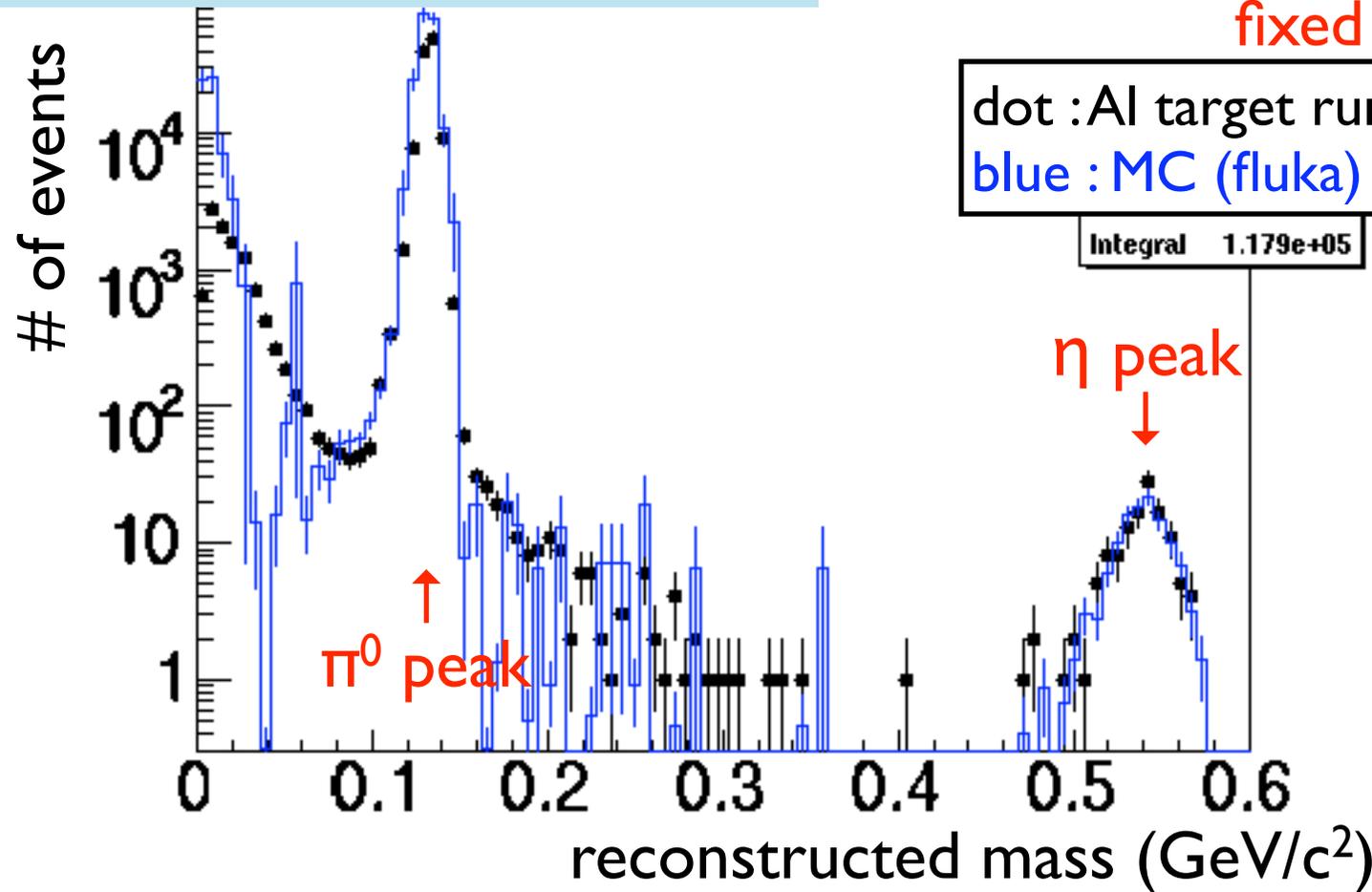
- Ratio  $\pi^0 / \eta \rightarrow$  OK

Reconstructed mass of  $2\gamma$

measured by CsI

$$M(2\gamma)^2 = 2E_1E_2(1 - \cos\theta)$$

calculated from  
fixed vtx-position



dot : Al target run data  
blue : MC (fluka)

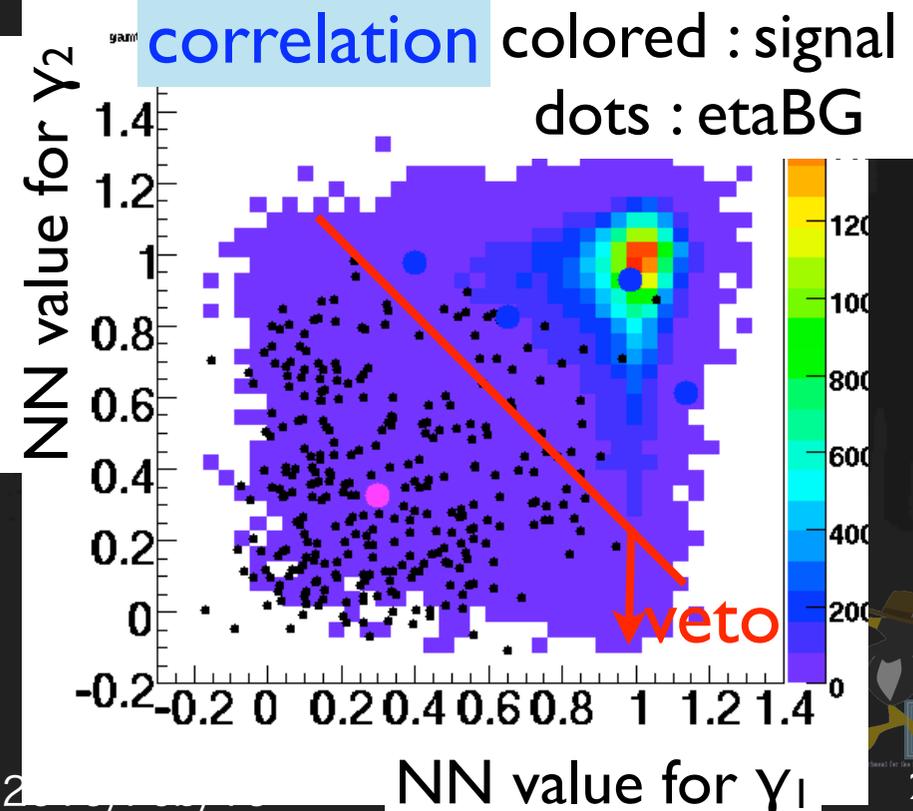
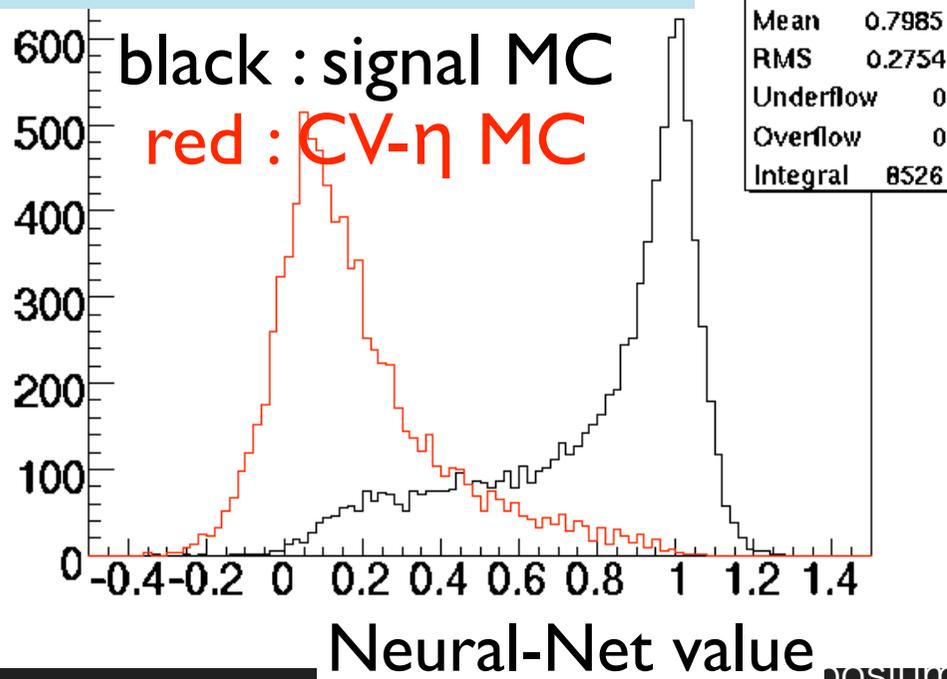
# Cut Optimization

- Cut condition最適化の方針
  - S/NをRun2の結果と同等に保ちながら acceptanceを最大化する
  - 最適化の間は実データのシグナル領域を隠す  
→ human-biasingを防ぐため
- 具体的には？
  - 新しいカット“cluster-shape NN”の導入
  - いくつかのカットを置き換え
  - パラメータの自動最適化

# Cluster Shape NN Cut (for CV- $\eta$ BG)

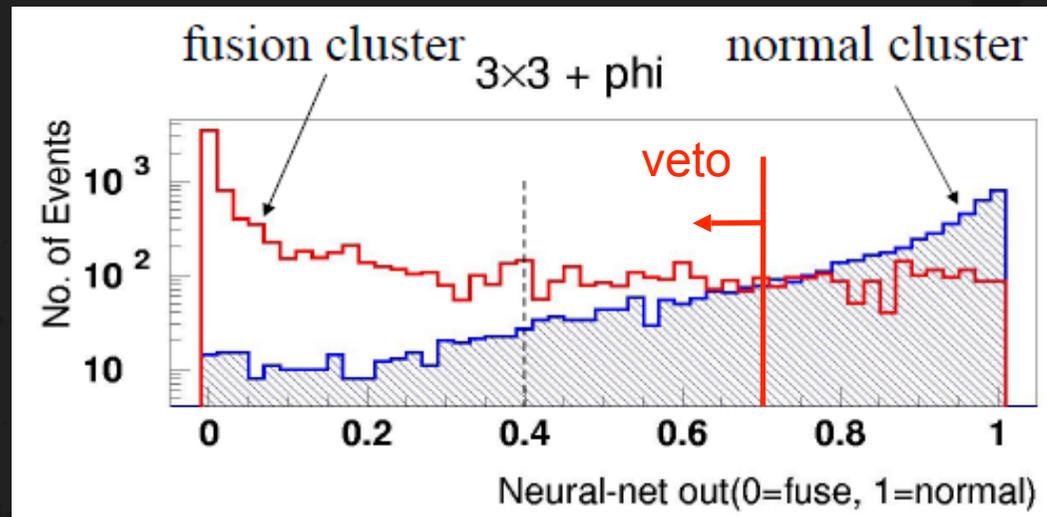
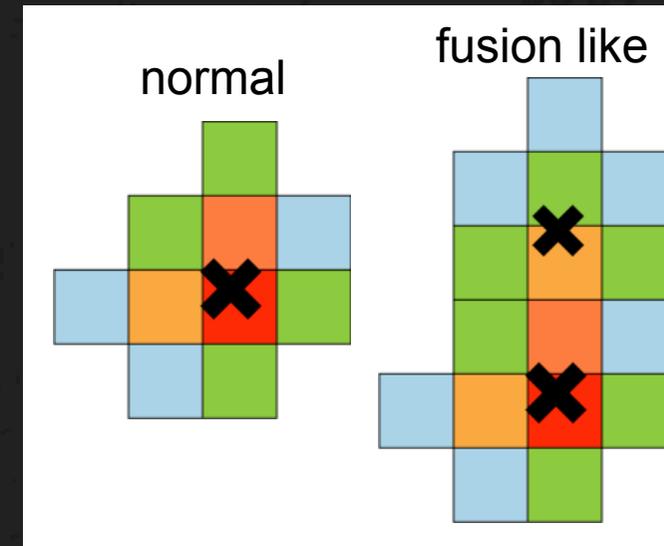
- CsIのヒットパターンを用いたNeural Network  
CV- $\eta$  BG は広がりを持ったクラスタを生成  
( $r$ が浅い角度でCsIに当たる &  $r$ のエネルギーが高い)
- NNへの入力: energy,  $r$ , phi-position (each crystal)

## Neural Network value

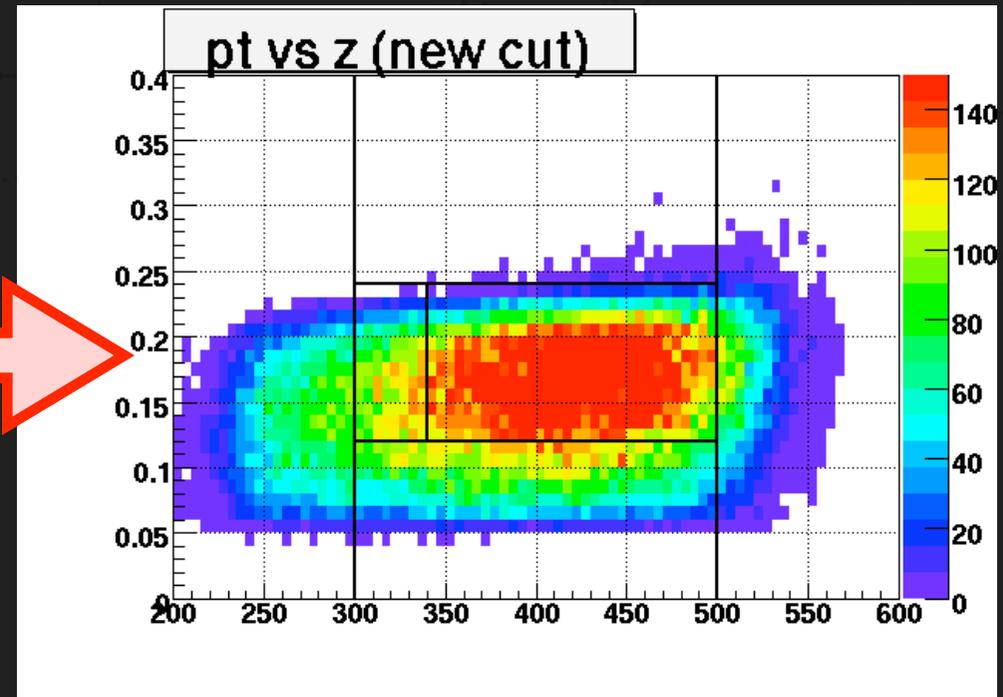
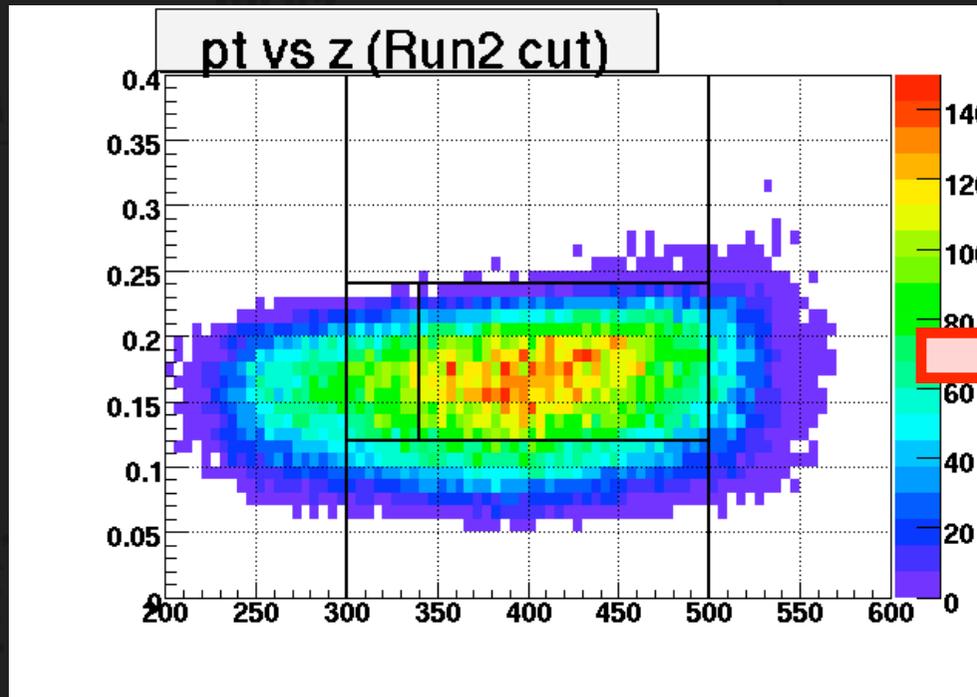


# $\gamma$ -fusion NN Cut (for CV- $\pi^0$ BG)

- CV- $\pi^0$  BG
- Cslでの“fusion” clusterが原因
- Run2からカットの変更で最適化  
cluster size cut  $\rightarrow$  fusion NN cut  
~40% accept. loss  $\rightarrow$  ~20% accept. loss  
rejection power is similar (~70% reduction)



# Result of Optimization



condition	Signal	S/N (arb.)
Run2(prev.)	30328	5054
New	45945(+51%)	5105

S/N : 以前のRun2解析と同等

acceptance : 以前のRun2解析から50%増加

# Background Estimation

Halo neutron background  
 $K_L$  background



Movable frame

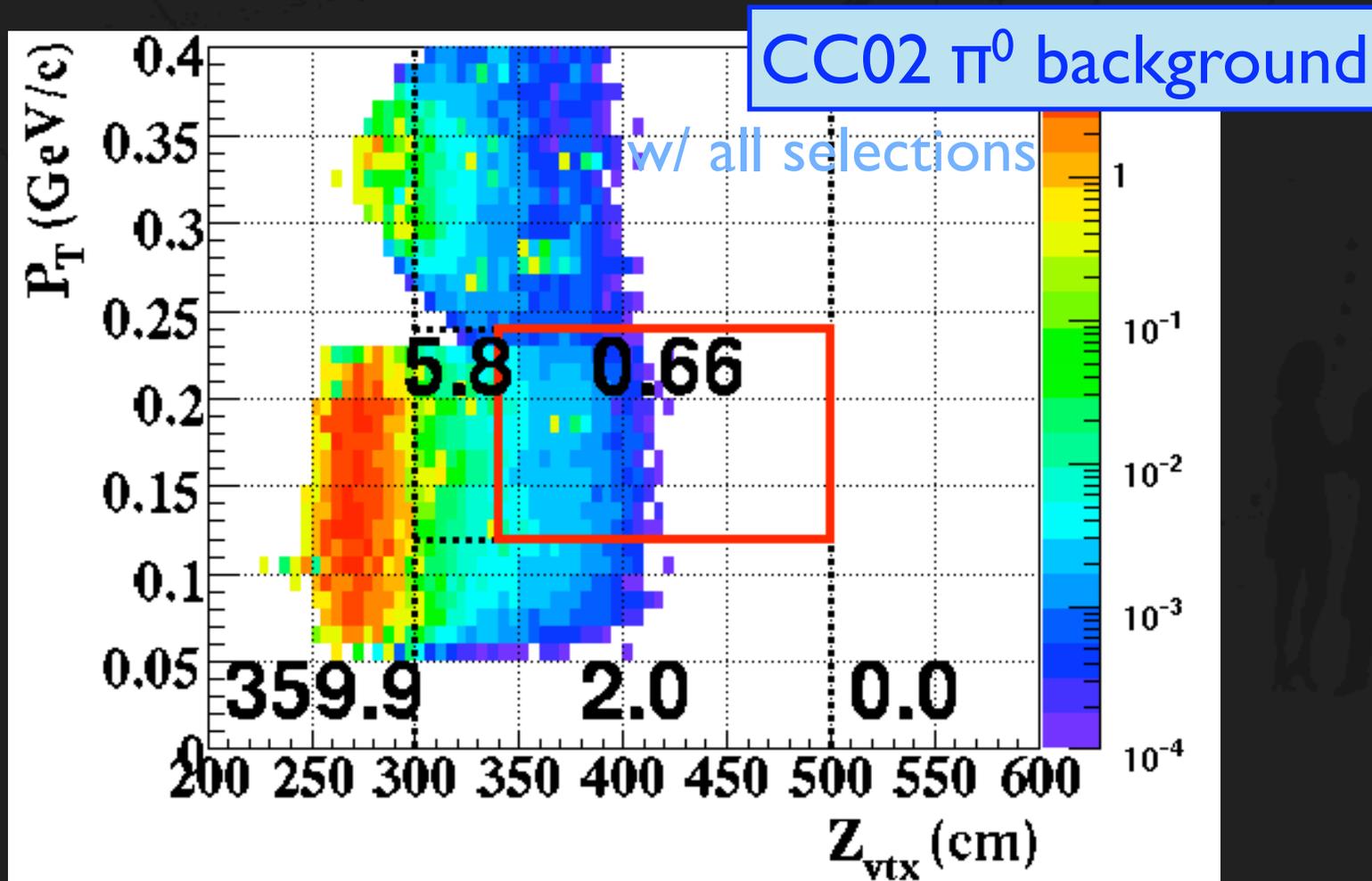
Support

# Background Estimation

- Halo neutron background
  - CC02- $\pi^0$  : from upstream
  - CV- $\pi^0$  : from downstream
  - CV- $\eta$
- $K_L$  originated background
  - neutral mode :  $K_L \rightarrow 2\pi^0$ ,  $K_L \rightarrow \gamma \gamma$
  - charged mode :  $K_L \rightarrow \pi^+ \pi^- \pi^0$

# CC02- $\pi^0$ Background (upstream)

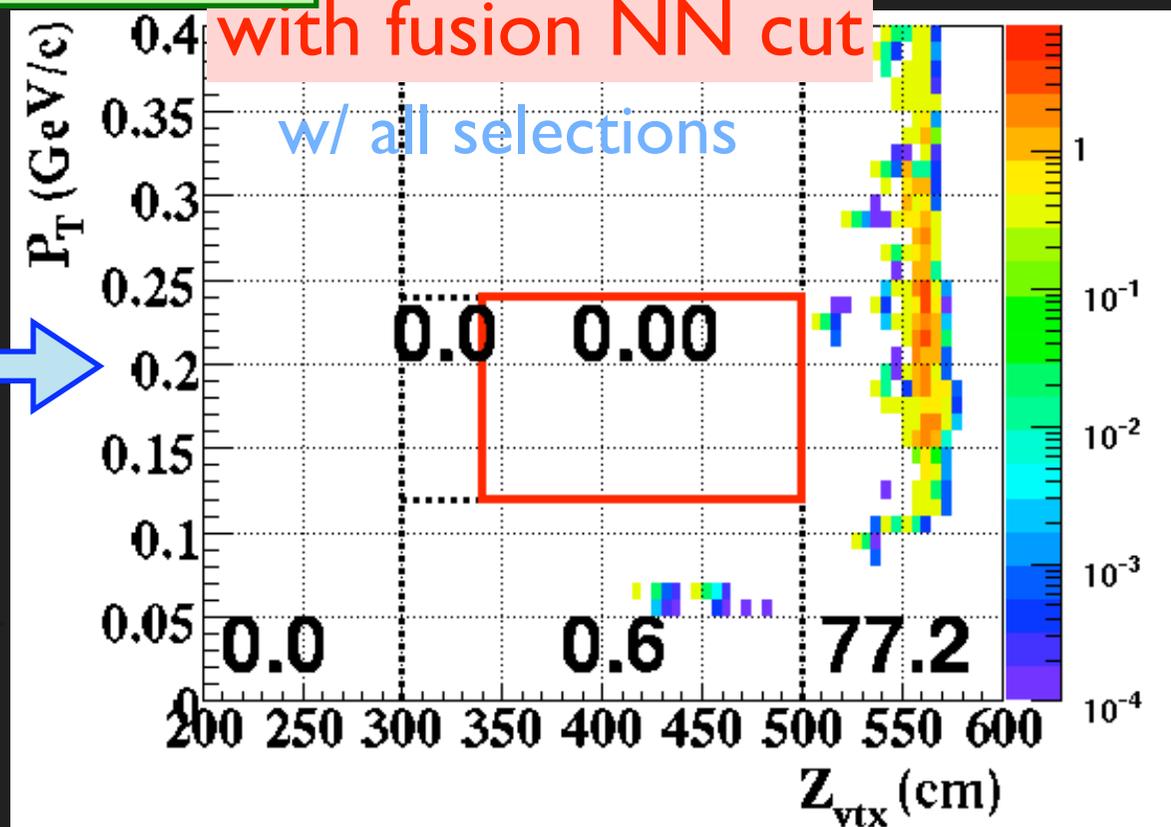
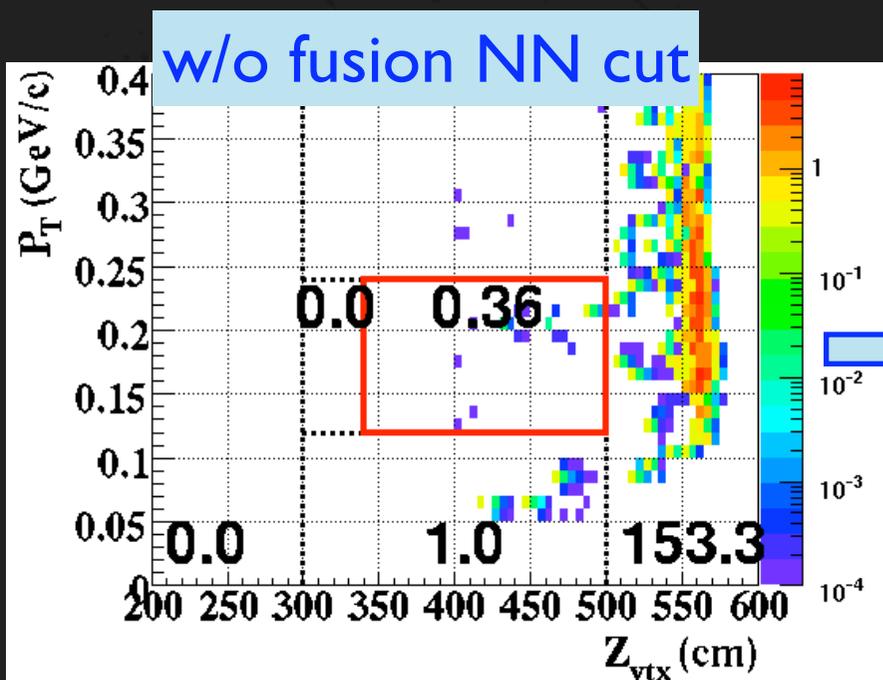
- CC02- $\pi^0$  BG (BG from upstream)
- $0.66 \pm 0.39$  events



# CV- $\pi^0$ Background (downstream)

- CV- $\pi^0$  BG (BG from downstream)
- no events remained  $\rightarrow < 0.36$  events

CV  $\pi^0$  background

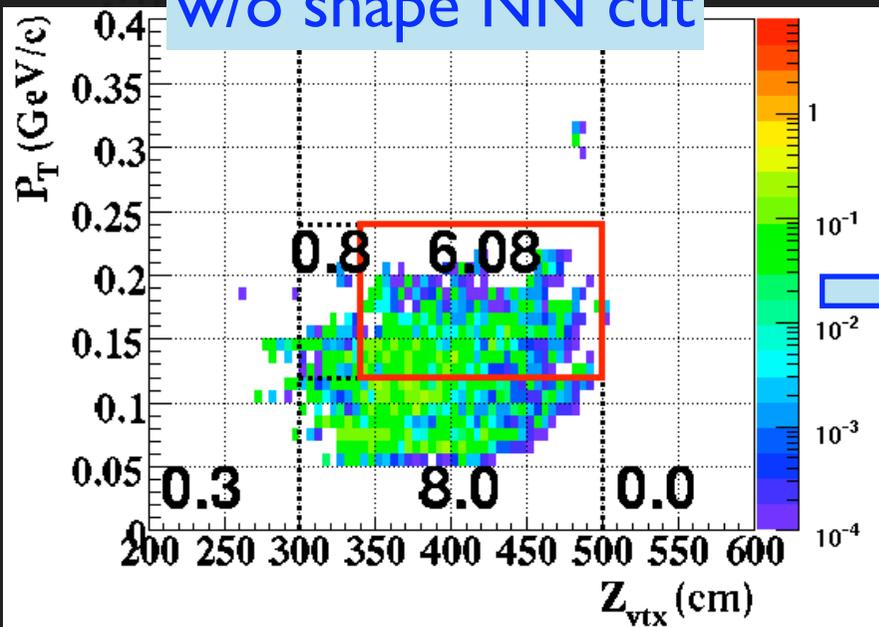


# CV- $\eta$ Background

- CV- $\eta$  BG  
 $0.19 \pm 0.13$  events

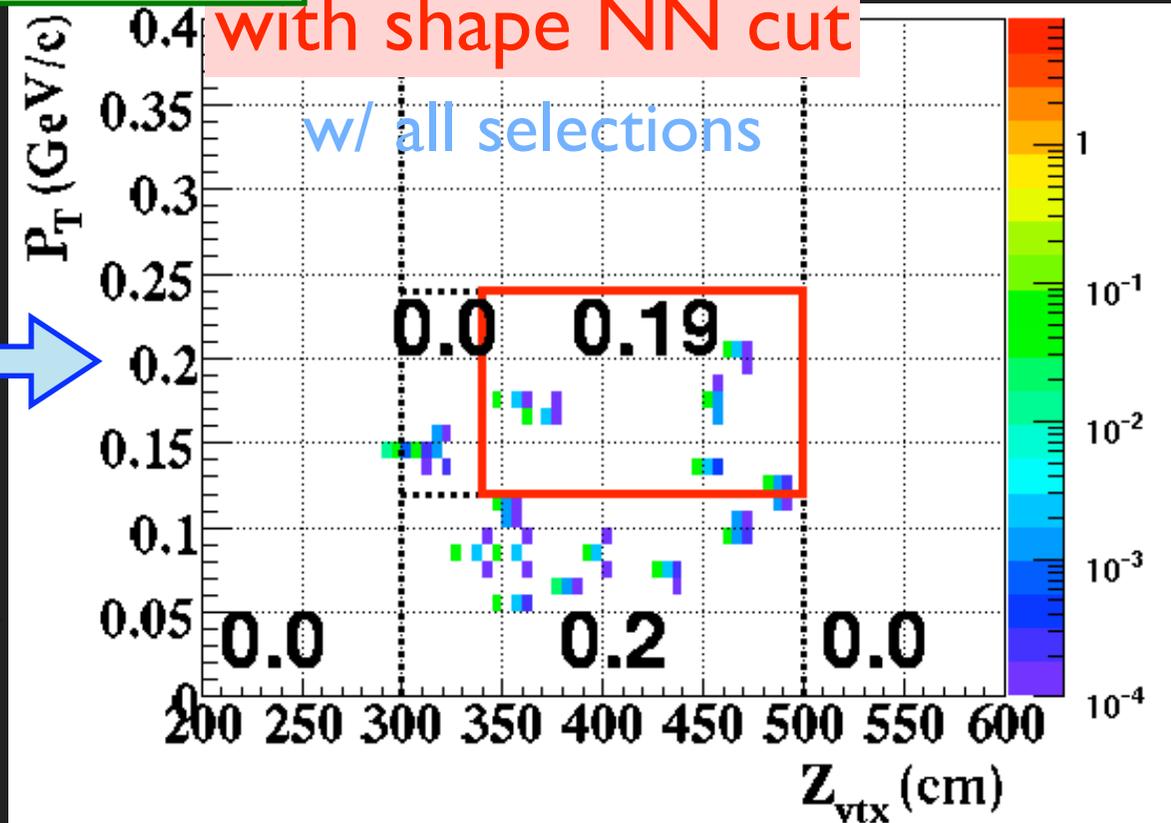
CV  $\eta$  background

w/o shape NN cut



with shape NN cut

w/ all selections



# K<sub>L</sub> Decay Backgrounds

- K<sub>L</sub> decay backgrounds
- GEANT3 simulation

- K<sub>L</sub> → 2π<sup>0</sup>

vetoで余分な2つのγを検出

統計量：Run2+3の約65倍

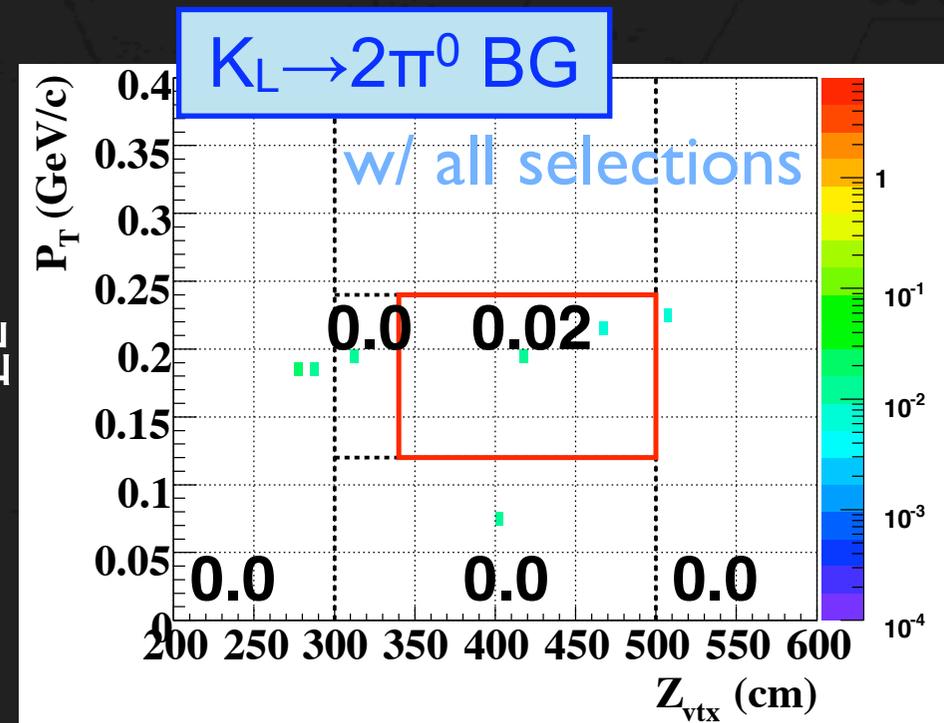
全カット適用後：2events

: 0.024 ± 0.018

- 他のK<sub>L</sub> decay BG's :

K<sub>L</sub> → γ γ : P<sub>T</sub>, kinematic selction → O(10<sup>-5</sup>)

Charged modes : reduced by CV → O(10<sup>-4</sup>)



# Summary of Background Estimation

- Summing up all background sources  
 → estimated # of background :  $0.87 \pm 0.41$

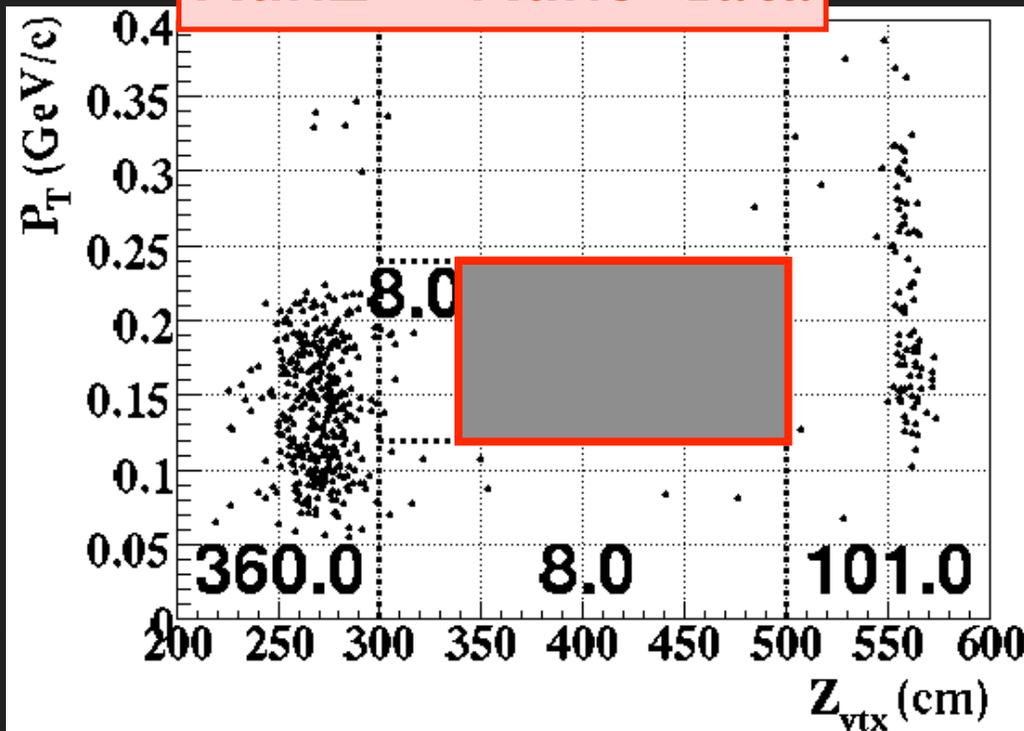
source		estimated BG
$K_L$	$K_L \rightarrow 2\pi^0$	$0.024 \pm 0.018$
	others	small ( $\sim O(10^{-4})$ )
halo-n	CC02- $\pi^0$	$0.66 \pm 0.39$
	CV- $\pi^0$	0.0 ( $<0.36$ )
	CV- $\eta$	$0.19 \pm 0.13$
total		$0.87 \pm 0.41$

for Run2 + Run3 data

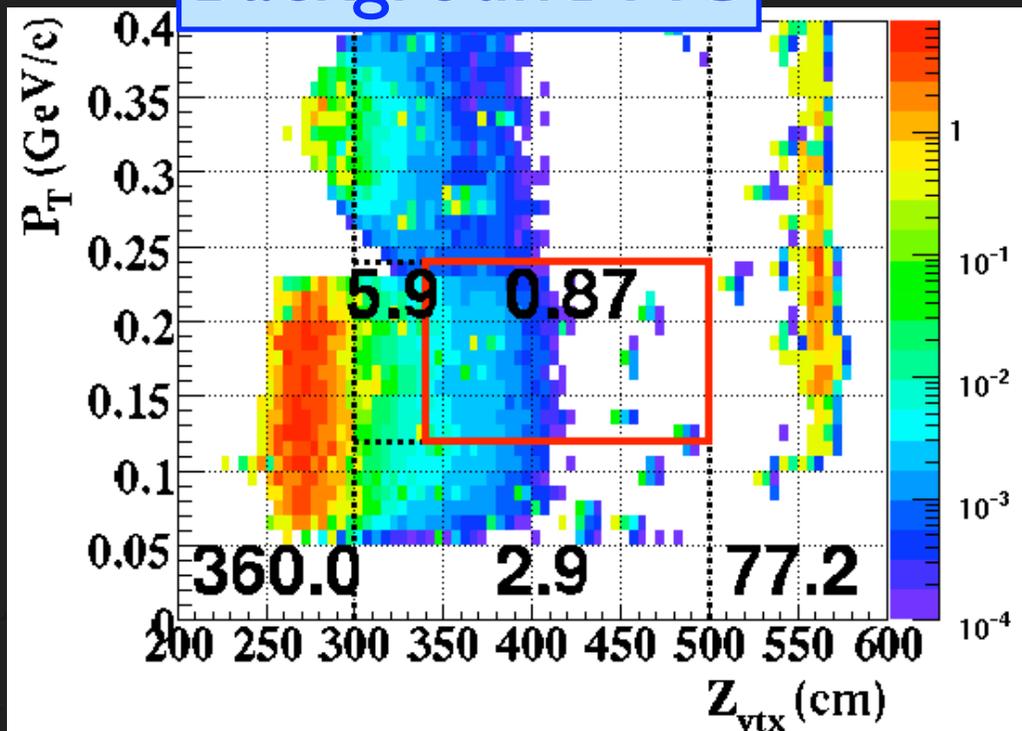
# Summary of BG Estimation

- シミュレーションによる見積もりとデータを比較  
→ データをよく再現している

Run2 + Run3 data



Background MC



# Sensitivity & Results

# of  $K_L$  decays  
Sensitivity  
Results



# # of $K_L$ Decays

- E391a full dataで得られた $K_L$  崩壊数
- $K_L \rightarrow 3\pi^0, 2\pi^0, \gamma\gamma$  の3 modesで見積もり

Run2 + Run3 data

mode	# of events in data	acceptance	flux
$K_L \rightarrow 3\pi^0$	118334	$(7.21 \pm 0.06) \times 10^{-5}$	$(8.41 \pm 0.03_{\text{stat.}} \pm 0.53_{\text{syst.}}) \times 10^9$ (-3.3%)
$K_L \rightarrow 2\pi^0$	2573.9	$(3.42 \pm 0.03) \times 10^{-4}$	$(8.70 \pm 0.17_{\text{stat.}} \pm 0.59_{\text{syst.}}) \times 10^9$ (---)
$K_L \rightarrow \gamma\gamma$	35367	$(7.18 \pm 0.03) \times 10^{-3}$	$(9.02 \pm 0.05_{\text{stat.}} \pm 0.51_{\text{syst.}}) \times 10^9$ (+3.7%)

cf.) Run2 only : flux =  $5.13 \times 10^9$

→ Run2+Run3 = 統計量は以前の解析の**1.7倍**

# Signal Acceptance

- Signal acceptance

$$A = \frac{\text{(イベント選択後に残るイベント数)}}{\text{(崩壊領域で崩壊した} K_L \text{数)}}$$

$$= \frac{\text{(# accept MC)}}{\text{(# generated} \rightarrow \text{decayed in MC)}}$$

$$= (1.06 \pm 0.08)\% \text{ for Run2}$$

$$= (1.01 \pm 0.06)\% \text{ for Run3}$$

(cf. previous analysis with Run2 : 0.670%)

accidental effect  
17.4% loss (Run2)  
20.6% loss (Run3)

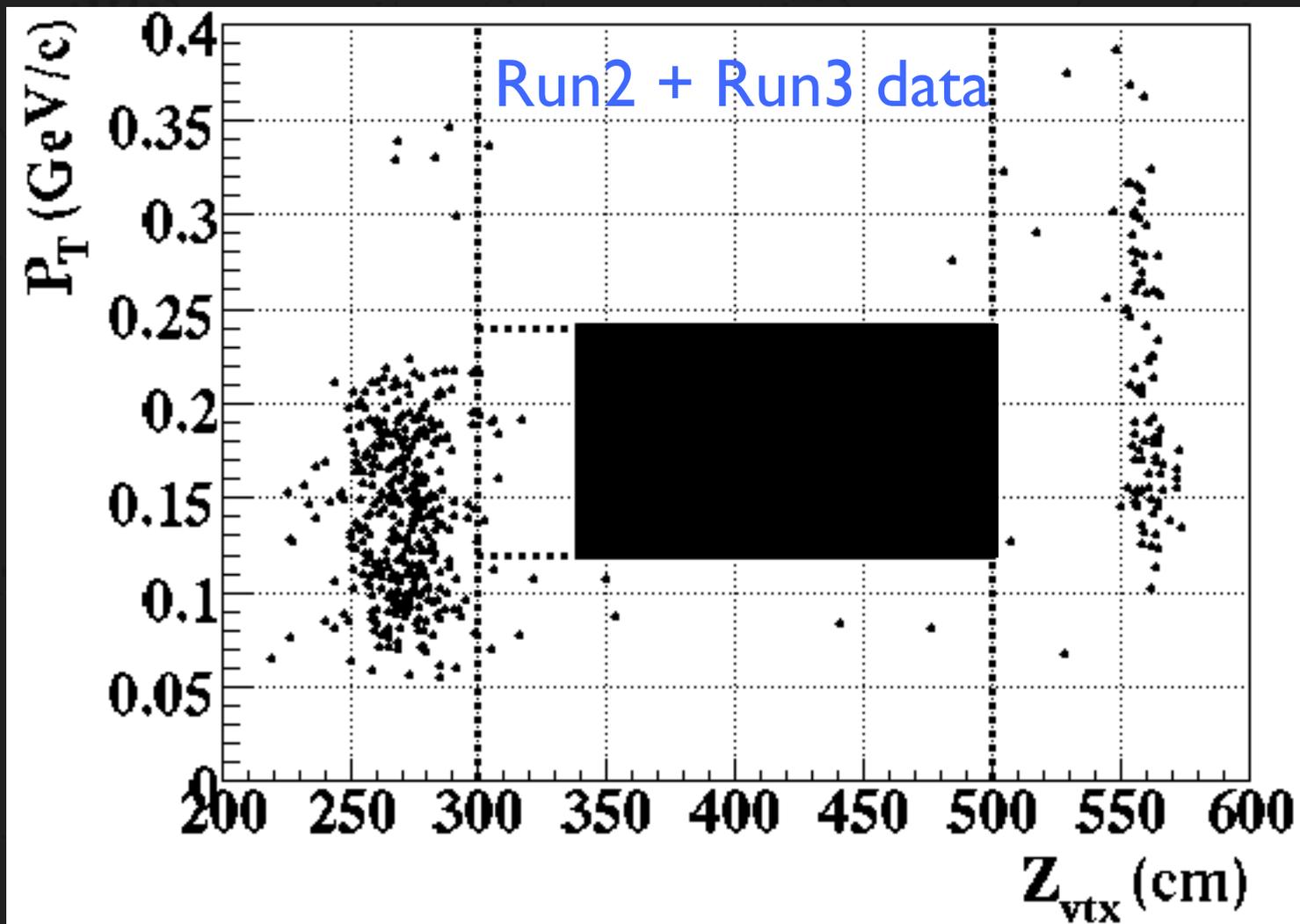
× (accidental loss)  
× (loss by time cuts)

# Sensitivity

- $K_L$  flux
  - $(8.70 \pm 0.61) \times 10^9$   $K_L$  decays for Run2 + Run3
- Single event sensitivity (S.E.S.)
  - “1 eventの観測が期待できる分岐比”
  - S.E.S. =  $1 / (\text{Acceptance} \times \# \text{ of } K_L)$
  - =  $(1.11 \pm 0.10) \times 10^{-8}$  for Run2 + Run3

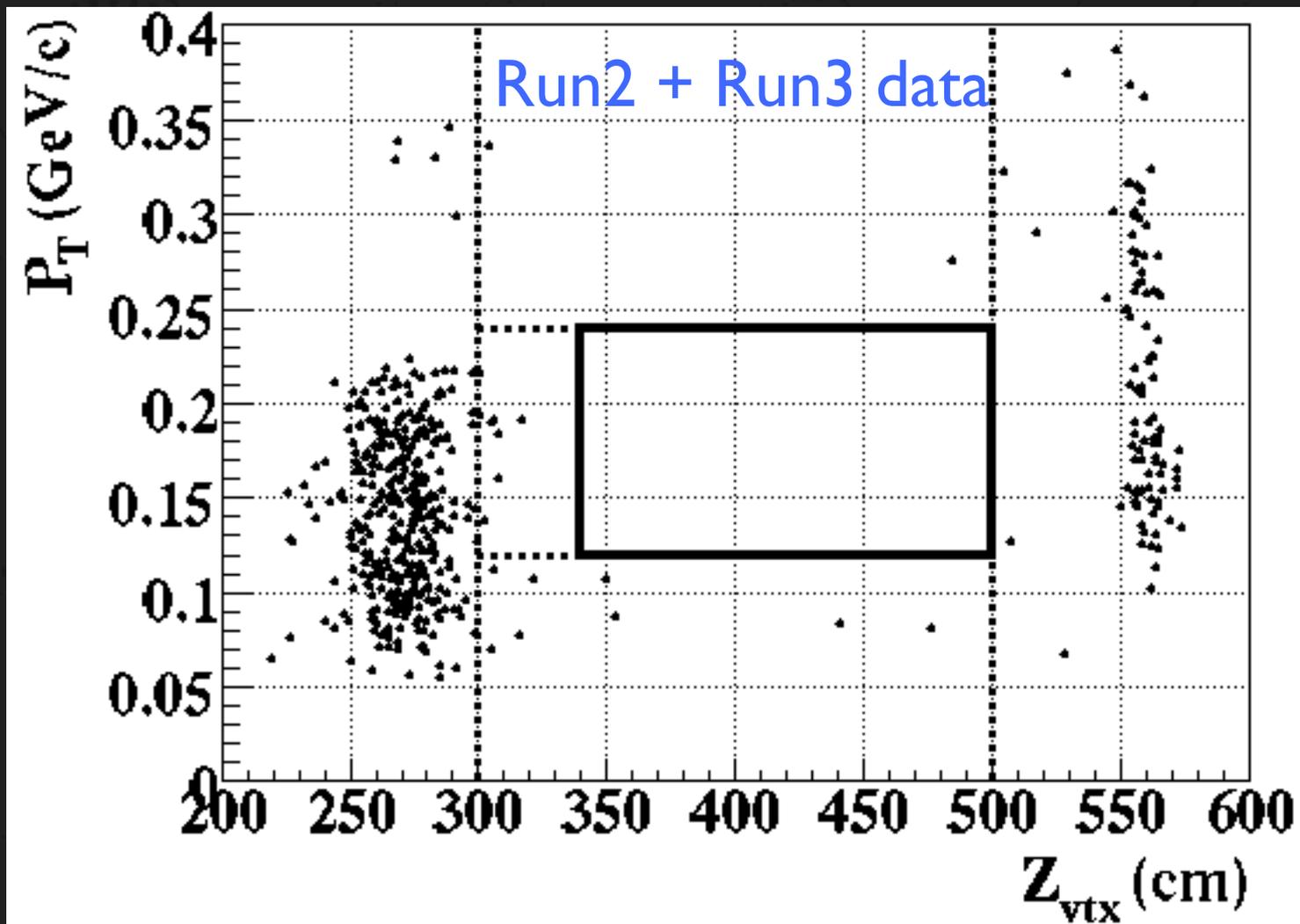
# Now, Ready to Open the BOX

- Opening the box for Run2 + Run3 data



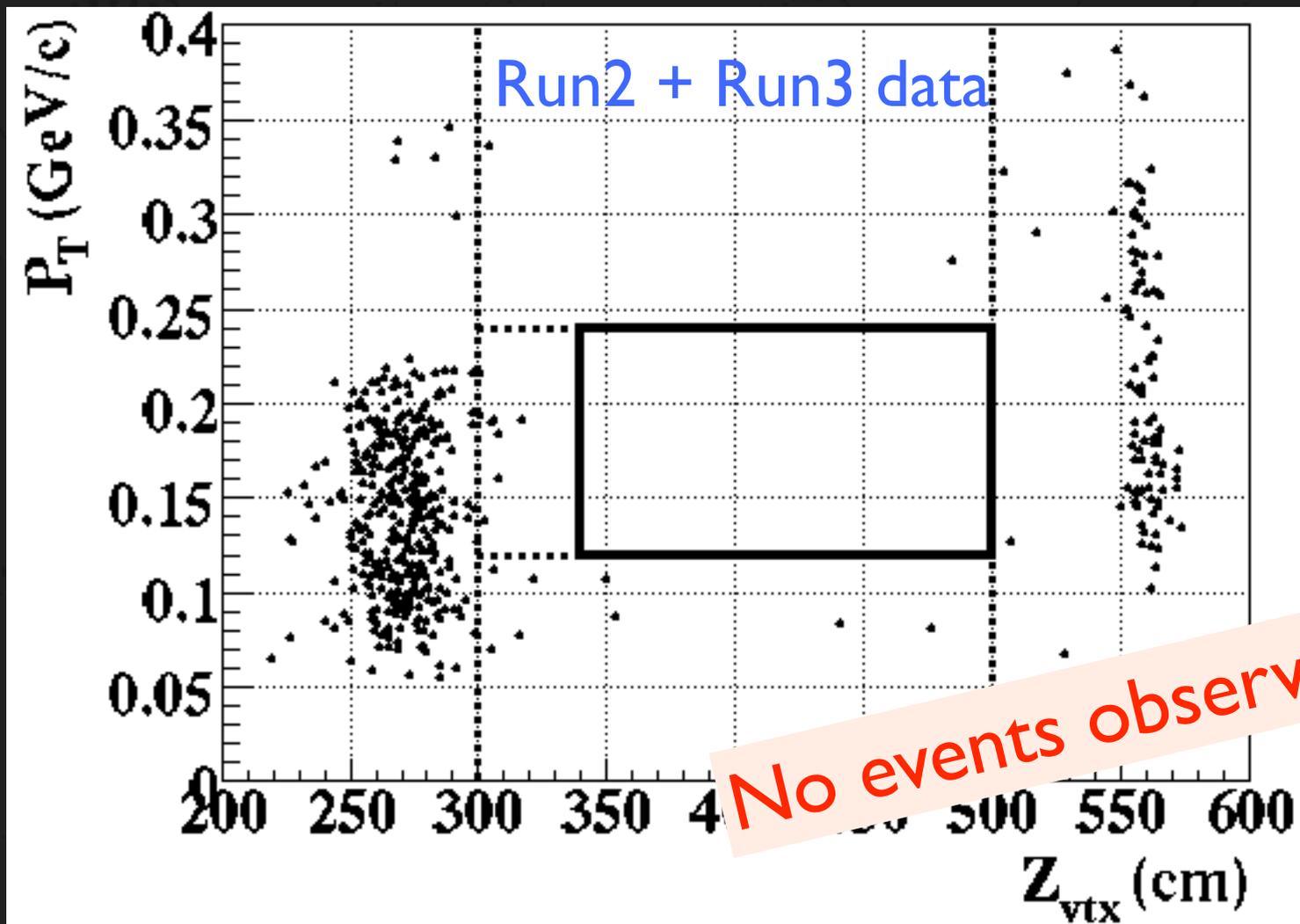
# Now, Ready to Open the BOX

- Opening the box for Run2 + Run3 data



# Now, Ready to Open the BOX

- Opening the box for Run2 + Run3 data



# Results

- Acceptance = 1.06% (Run2) and 1.01% (Run3)  
(cf. Run2 previous : 0.670%)
- S.E.S. =  $1 / (\text{Acc.} \times \#KL)$   
Run2 + Run3 :  $1.11 \times 10^{-8}$   
(cf. Run2 previous :  $2.91 \times 10^{-8}$ )

- 分岐比上限

no events observed  $\rightarrow$   $\times 2.3$  with Poisson stat.

E391 a final :  $BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 2.6 \times 10^{-8}$   
(@90% C.L.)

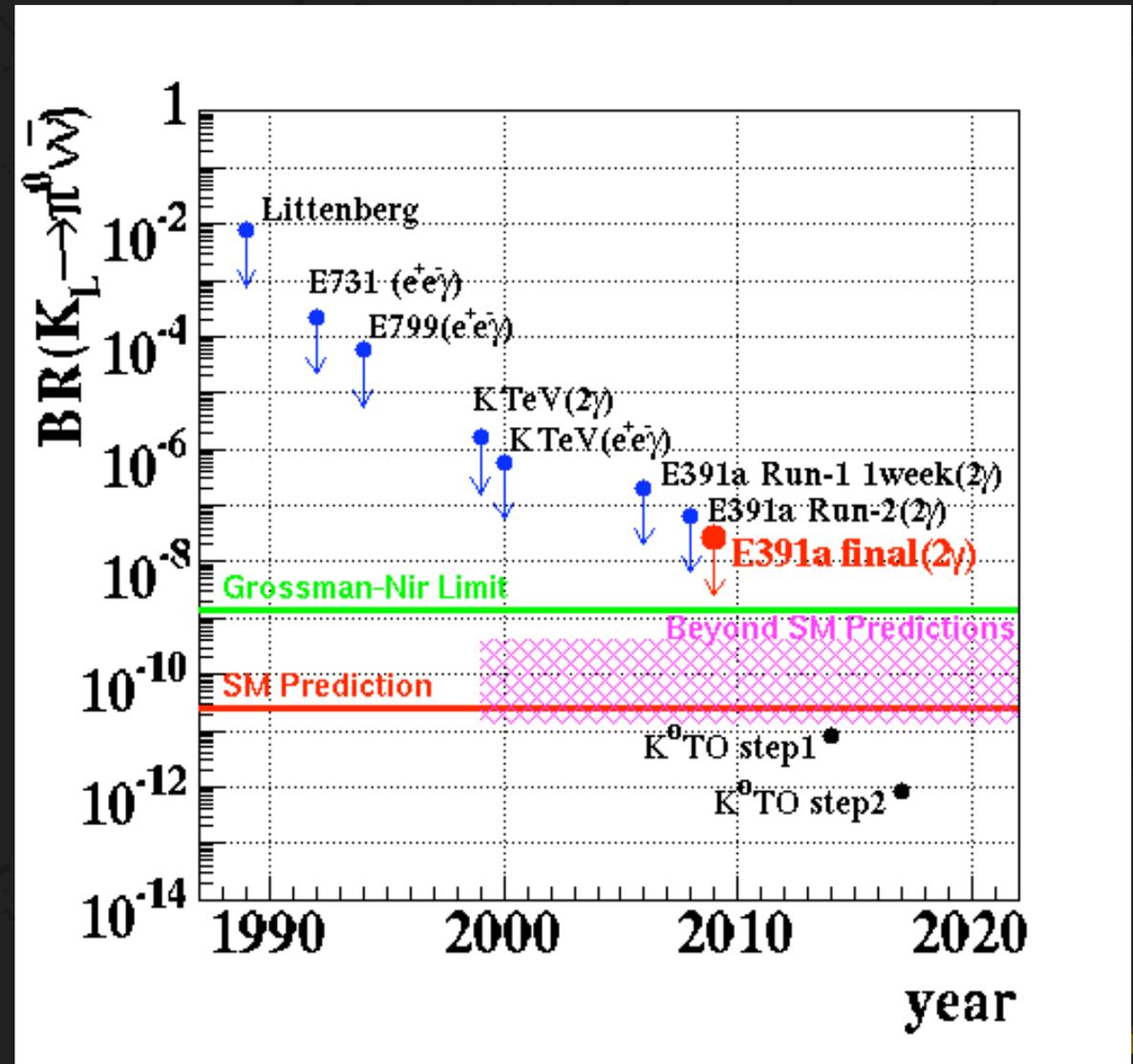
(cf. Run2 previous :  $6.7 \times 10^{-8}$  @ 90% C.L.)

$\rightarrow$  Improvement from the previous :  $\times 2.6$  (=1.7  $\times$  1.5)

統計 acceptance

# Milestone

- 一歩前進!
- Next step :  $K^0$ TO  
E391aでの知見を活かして...  
→next talk



# Summary (1)

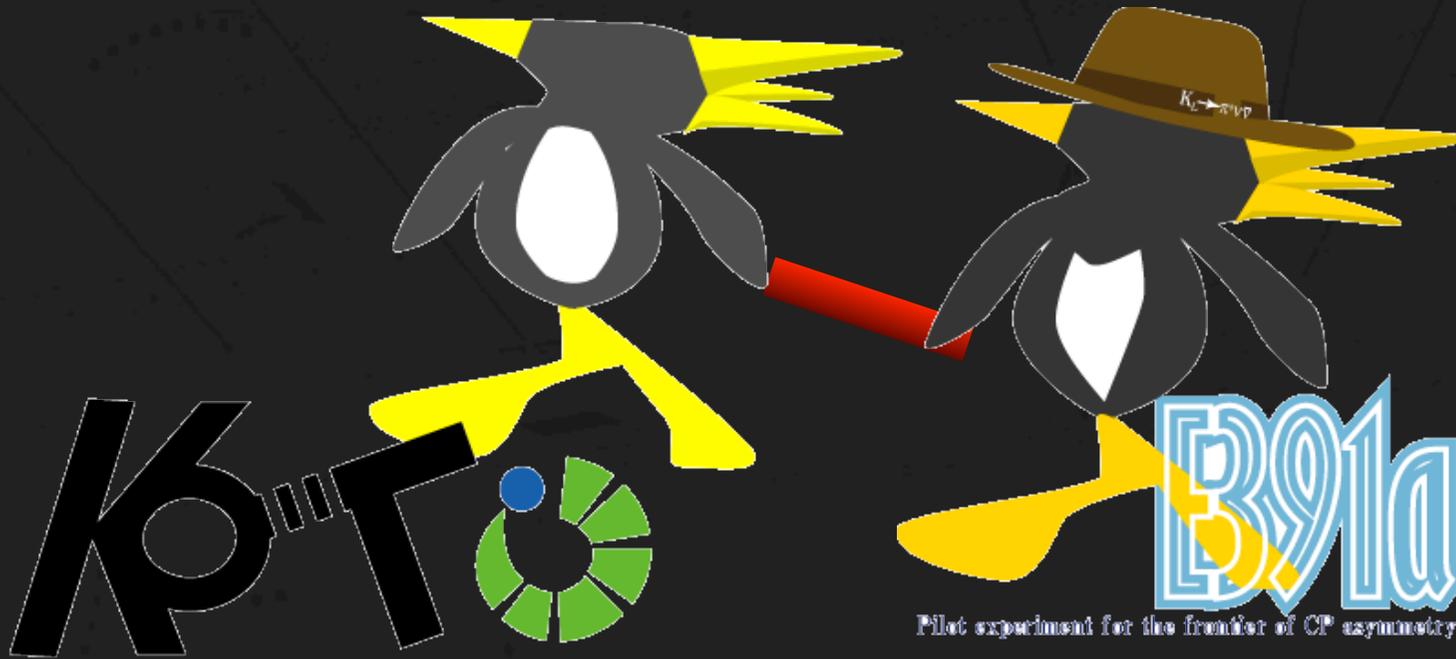
- $K_L \rightarrow \pi^0 \nu \bar{\nu}$  崩壊
- 新物理を探索する良い実験場 : CPV, theoretically clean
- E391a experiment @ KEK 12GeV PS
- first dedicated experiment for  $K_L \rightarrow \pi^0 \nu \bar{\nu}$
- Features of Full Analysis
  - halo-n BG studyに重点  
simpleな手法で見積もり  
効果的にイベント選択を最適化 (+50% in acceptance)
  - データの統計量  
Run2+Run3で 以前の解析(Run2)の約1.7倍

# Summary (2)

- Acceptance : 1.06% (Run2) & 1.01% (Run3)
- Sensitivity  
S.E.S. :  $1.11 \times 10^{-8}$  (Run2 + Run3)
- Opening the box for Run2 + Run3 data  
→ No events observed!
- Upper Limit (E391 a final)  
 $BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 2.6 \times 10^{-8}$  (@ 90% C.L.)  
(以前の解析から2.6倍の更新)
- E391 a実験の手法の有効性を証明  
→その知見を活かしてJ-PARC K<sup>0</sup>TO実験へ

arXiv : 0911.4789

Step-by-Step Approach



Thank You!